

# Localisation principles for variant H-measures

Nenad Anđonić

Department of Mathematics  
Faculty of Science  
University of Zagreb

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Joint work with Marko Erceg, Ivan Ivec, Martin Lazar, Marin Mišur and Darko Mitrović



## H-measures and variants

- H-measures

- Existence of H-measures

- Localisation principle

## H-distributions

- Existence

- Localisation principle

- Other variants

## One-scale H-measures

- Semiclassical measures

- One-scale H-measures

- Localisation principle

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- o Patrick Gérard, whose motivation were certain problems in kinetic theory (and who called these objects *microlocal defect measures*).

Start from  $u_n \rightharpoonup 0$  in  $L^2(\mathbf{R}^d)$ ,  $\varphi \in C_c(\mathbf{R}^d)$ , and take the Fourier transform:

$$\widehat{\varphi u_n}(\boldsymbol{\xi}) = \int_{\mathbf{R}^d} e^{-2\pi i \mathbf{x} \cdot \boldsymbol{\xi}} (\varphi u_n)(\mathbf{x}) d\mathbf{x}$$

As  $\varphi u_n$  is supported on a fixed compact set  $K$ , so  $|\widehat{\varphi u_n}(\boldsymbol{\xi})| \leq C$ .

Furthermore,  $u_n \rightharpoonup 0$ , and from the definition  $\widehat{\varphi u_n}(\boldsymbol{\xi}) \rightarrow 0$  pointwise.

By the Lebesgue dominated convergence theorem on bounded sets, we get  $\widehat{\varphi u_n} \rightarrow 0$  strong, i.e. strongly in  $L^2_{loc}(\mathbf{R}^d)$ .

On the other hand, by the Plancherel theorem:  $\|\widehat{\varphi u_n}\|_{L^2(\mathbf{R}^d)} = \|\varphi u_n\|_{L^2(\mathbf{R}^d)}$ .

If  $\varphi u_n \not\rightarrow 0$  in  $L^2(\mathbf{R}^d)$ , then  $\widehat{\varphi u_n} \not\rightarrow 0$ ; some information must go to infinity.

**How does it go to infinity in various directions?** Take  $\psi \in C(S^{d-1})$ , and consider:

$$\lim_n \int_{\mathbf{R}^d} \psi(\boldsymbol{\xi}/|\boldsymbol{\xi}|) |\widehat{\varphi u_n}|^2 d\boldsymbol{\xi} = \int_{S^{d-1}} \psi(\boldsymbol{\xi}) d\nu_\varphi(\boldsymbol{\xi}).$$

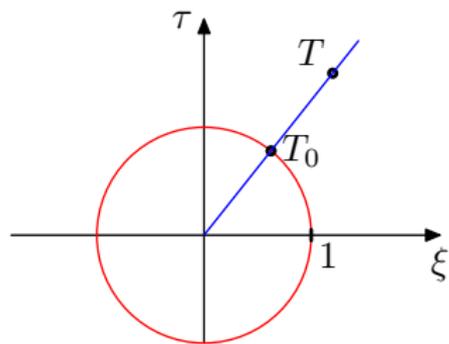
The limit is a linear functional in  $\psi$ , thus an integral over the sphere of some nonnegative Radon measure (a bounded sequence of Radon measures has an accumulation point), which depends on  $\varphi$ . **How does it depend on  $\varphi$ ?**

## H-measures: Rough geometric idea

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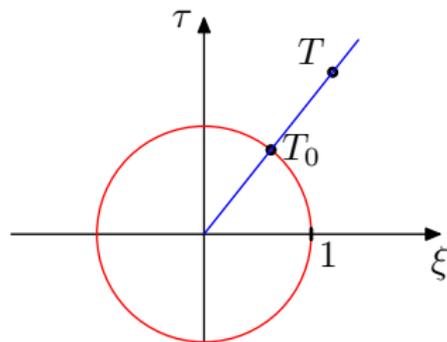
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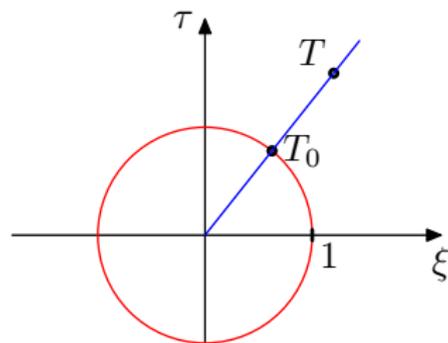


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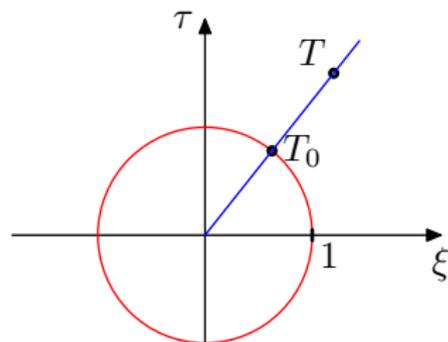
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and projection  $\mathbf{R}_*^2 = \mathbf{R}^2 \setminus \{0\}$  onto the curve (surface):

$$p(\tau, \xi) := \left( \frac{\tau}{r(\tau, \xi)}, \frac{\xi}{r(\tau, \xi)} \right)$$

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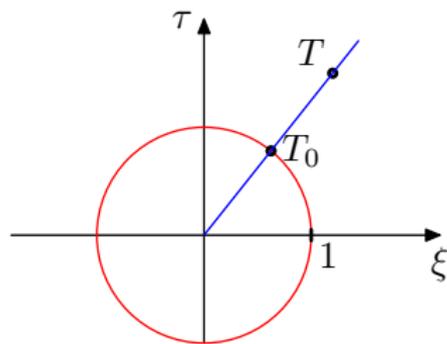
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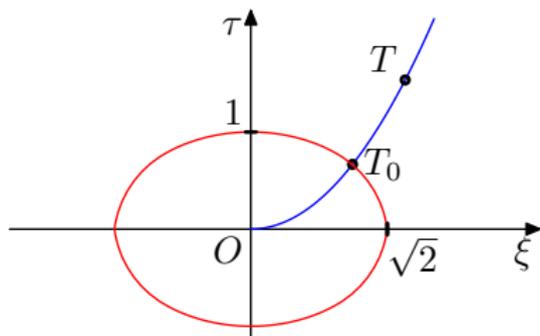
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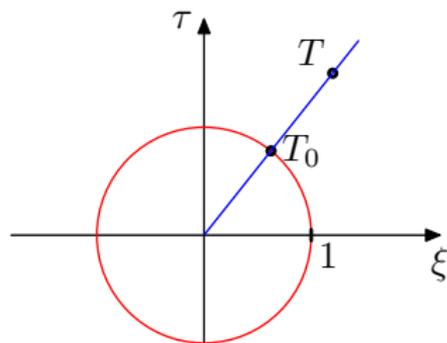
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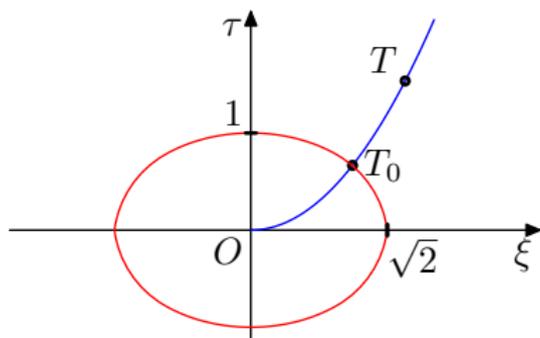
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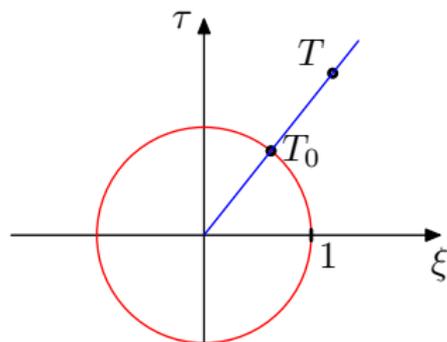
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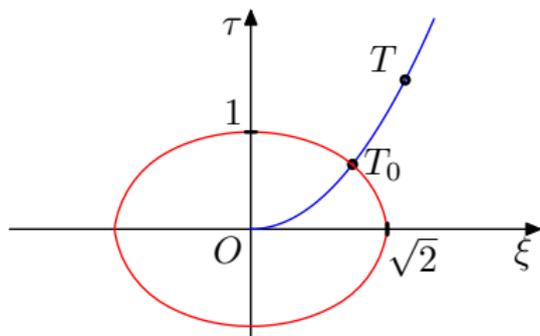
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Now we can state the main theorem, where we use the notation

$$\mathbf{v} \cdot \mathbf{u} := \sum v_i \bar{u}_i \quad , \quad (\mathbf{v} \otimes \mathbf{u})\mathbf{a} := (\mathbf{a} \cdot \mathbf{u})\mathbf{v} \quad , \quad \text{while} \quad (f \boxtimes g)(\mathbf{x}, \boldsymbol{\xi}) := f(\mathbf{x})g(\boldsymbol{\xi}) \quad .$$

## Existence of H-measures

**Theorem.** If  $u_n \rightharpoonup 0$  in  $L^2(\mathbf{R}^d; \mathbf{C}^r)$ , then there exists a subsequence and a complex matrix Radon measure  $\mu$  on

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such that for any  $\varphi_1, \varphi_2 \in C_0(\mathbf{R}^d)$  and

$$\psi \in C(S^{d-1})$$

one has

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Taking sequences in  $L^2_{\text{loc}}(\Omega; \mathbf{C}^r)$ , one gets unbounded Radon measures (i.e. distributions of order zero) as H-measures.

It holds:  $u_n \rightarrow 0$  in  $L^2_{\text{loc}}(\mathbf{R}^d; \mathbf{C}^r)$  if and only if  $\mu = 0$ .

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There are some other variants (E. Ju. Panov, D. Mitrović & I. Ivec, M. Erceg & I. Ivec, ...).

## Important lemma

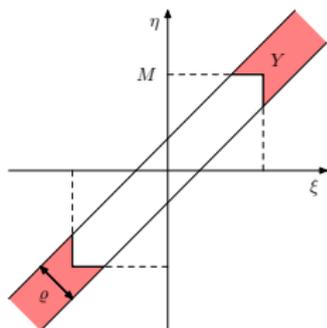
**Lemma. (first commutation — Luc Tartar)** *If  $b \in C_0(\mathbf{R}^d)$  and  $a \in L^\infty(\mathbf{R}^d)$  satisfy the condition*

$$(\forall \rho, \varepsilon \in \mathbf{R}^+)(\exists M \in \mathbf{R}^+) \quad |a(\boldsymbol{\xi}) - a(\boldsymbol{\eta})| \leq \varepsilon \quad (\text{a.e. } (\boldsymbol{\xi}, \boldsymbol{\eta}) \in Y(M, \rho)),$$

*then  $C := [\mathcal{A}_a, M_b]$  is a compact operator on  $L^2(\mathbf{R}^d)$ .* ■

For given  $M, \rho \in \mathbf{R}^+$  denote the set

$$Y = Y(M, \rho) = \{(\boldsymbol{\xi}, \boldsymbol{\eta}) \in \mathbf{R}^{2d} : |\boldsymbol{\xi}|, |\boldsymbol{\eta}| \geq M \text{ \& } |\boldsymbol{\xi} - \boldsymbol{\eta}| \leq \rho\}.$$



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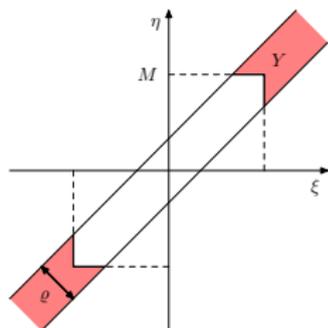
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In both cases discussed above, this lemma can also be proven directly, based on elementary inequalities.

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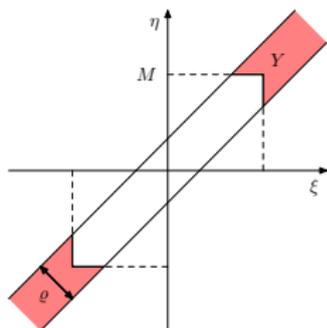
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$$(\forall \rho, \varepsilon \in \mathbf{R}^+)(\exists M \in \mathbf{R}^+) \quad |a(\boldsymbol{\xi}) - a(\boldsymbol{\eta})| \leq \varepsilon \quad (\text{a.e. } (\boldsymbol{\xi}, \boldsymbol{\eta}) \in Y(M, \rho)),$$

*then  $C := [\mathcal{A}_a, M_b]$  is a compact operator on  $L^2(\mathbf{R}^d)$ .* ■

For given  $M, \rho \in \mathbf{R}^+$  denote the set

$$Y = Y(M, \rho) = \{(\boldsymbol{\xi}, \boldsymbol{\eta}) \in \mathbf{R}^{2d} : |\boldsymbol{\xi}|, |\boldsymbol{\eta}| \geq M \text{ \& } |\boldsymbol{\xi} - \boldsymbol{\eta}| \leq \rho\}.$$



In both cases discussed above, this lemma can also be proven directly, based on elementary inequalities.

Similar results were obtained and used earlier in the theory of pseudodifferential operators.

## Localisation principle for classical H-measures

$$\sum_{k=1}^d \partial_k (\mathbf{A}^k \mathbf{u}) + \mathbf{B} \mathbf{u} = \mathbf{f} \quad , \quad \mathbf{A}^k \in C_b(\mathbf{R}^d; \mathbf{M}_{l \times r})$$

Assume:

$$\mathbf{u}_n \xrightarrow{L^2} 0 \quad , \quad \text{and defines } \boldsymbol{\mu}$$

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**Theorem. (localisation principle)** If  $\mathbf{u}_n$  satisfies:

$$\sum_{k=1}^d \partial_k (\mathbf{A}^k \mathbf{u}_n) \longrightarrow 0 \quad \text{in } H_{\text{loc}}^{-1}(\mathbf{R}^d; \mathbf{C}^r),$$

then for  $\mathbf{p}(\mathbf{x}, \boldsymbol{\xi}) := \sum_{k=1}^d \xi_k \mathbf{A}^k(\mathbf{x})$  on  $\Omega \times S^{d-1}$  one has:

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Thus, if  $l = r$ , the support of H-measure  $\boldsymbol{\mu}$  is contained in the set  $\{(\mathbf{x}, \boldsymbol{\xi}) \in \Omega \times S^{d-1} : \det \mathbf{p}(\mathbf{x}, \boldsymbol{\xi}) = 0\}$  of points where  $\mathbf{p}$  is a singular matrix.

The localisation principle is behind most of the known applications (e.g. to the small-amplitude homogenisation). It contains a generalisation of compactness by compensation to variable coefficients.

## Localisation principle for parabolic H-measures

In the parabolic case the details become more involved.

Anisotropic Sobolev spaces ( $s \in \mathbf{R}$ ;  $k_p(\tau, \boldsymbol{\xi}) := \sqrt[4]{1 + (2\pi\tau)^2 + (2\pi|\boldsymbol{\xi}|)^4}$ )

$$H^{\frac{s}{2}, s}(\mathbf{R}^{1+d}) := \left\{ u \in \mathcal{S}' : k_p^s \hat{u} \in L^2(\mathbf{R}^{1+d}) \right\}.$$

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**Theorem. (localisation principle)** Let  $u_n \rightharpoonup 0$  in  $L^2(\mathbf{R}^{1+d}; \mathbf{C}^r)$ , uniformly compactly supported in  $t$ , satisfy ( $s \in \mathbf{N}$ )

$$\sqrt{\partial_t}^s (\mathbf{A}^0 u_n) + \sum_{|\alpha|=s} \partial_x^\alpha (\mathbf{A}^\alpha u_n) \rightarrow 0 \quad \text{strongly in } H_{\text{loc}}^{-\frac{s}{2}, -s}(\mathbf{R}^{1+d}),$$

where  $\mathbf{A}^0, \mathbf{A}^\alpha \in C_b(\mathbf{R}^{1+d}; M_{l \times r}(\mathbf{C}))$ , for some  $l \in \mathbf{N}$ , while  $\sqrt{\partial_t}$  is a pseudodifferential operator with symbol  $\sqrt{2\pi i \tau}$ , i.e.

$$\sqrt{\partial_t} u = \mathcal{F} \left( \sqrt{2\pi i \tau} \hat{u}(\tau) \right).$$

Then for a parabolic H-measure  $\mu$  associated to (a sub)sequence (of)  $(u_n)$  one has

$$\left( (\sqrt{2\pi i \tau})^s \mathbf{A}^0 + \sum_{|\alpha|=s} (2\pi i \boldsymbol{\xi})^\alpha \mathbf{A}^\alpha \right) \mu^\top = \mathbf{0}.$$

## Good bounds in the $L^p$ case: the Hörmander-Mihlin theorem

$\psi : \mathbf{R}^d \rightarrow \mathbf{C}$  is a *Fourier multiplier* on  $L^p(\mathbf{R}^d)$  if

$$\bar{\mathcal{F}}(\psi \mathcal{F}(\theta)) \in L^p(\mathbf{R}^d), \quad \text{for } \theta \in \mathcal{S}(\mathbf{R}^d),$$

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**Theorem. [Hörmander-Mihlin]** *Let  $\psi \in L^\infty(\mathbf{R}^d)$  have partial derivatives of order less than or equal to  $\kappa = \lfloor \frac{d}{2} \rfloor + 1$ . If for some  $k > 0$*

$$(\forall r > 0)(\forall \alpha \in \mathbf{N}_0^d) \quad |\alpha| \leq \kappa \implies \int_{\frac{r}{2} \leq |\xi| \leq r} |\partial^\alpha \psi(\xi)|^2 d\xi \leq k^2 r^{d-2|\alpha|},$$

*then for any  $p \in \langle 1, \infty \rangle$  and the associated multiplier operator  $\mathcal{A}_\psi$  there exists a  $C_d$  (depending only on the dimension  $d$ ) such that*

$$\|\mathcal{A}_\psi\|_{L^p \rightarrow L^p} \leq C_d \max \left\{ p, \frac{1}{p-1} \right\} (k + \|\psi\|_\infty).$$

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## The main theorem

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For vector-valued  $u_n \in L^p(\mathbf{R}^d; \mathbf{C}^k)$  and  $v_n \in L^q(\mathbf{R}^d; \mathbf{C}^l)$ , the result is a *matrix valued distribution*  $\mu = [\mu^{ij}]$ ,  $i \in 1..k$  and  $j \in 1..l$ .

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The H-distribution would correspond to a non-diagonal block for an H-measure.

## The proof is based on First commutation lemma

$\psi \in C^\kappa(\mathbb{S}^{d-1})$  satisfies the conditions of the Hörmander-Mihlin theorem.

Therefore,  $\mathcal{A}_\psi$  and  $M_\varphi$  are bounded operators on  $L^p(\mathbf{R}^d)$ , for any  $p \in \langle 1, \infty \rangle$ .

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**Lemma.** *Let  $(v_n)$  be bounded in both  $L^2(\mathbf{R}^d)$  and  $L^r(\mathbf{R}^d)$ , for some  $r \in \langle 2, \infty \rangle$ , and let  $v_n \rightharpoonup 0$  in  $\mathcal{D}'$ . Then the sequence  $(Cv_n)$  strongly converges to zero in  $L^q(\mathbf{R}^d)$ , for any  $q \in [2, r] \setminus \{\infty\}$ .* ■

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If  $q < r$ , we can apply the classical interpolation inequality:

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Therefore,  $\mathcal{A}_\psi$  and  $M_\varphi$  are bounded operators on  $L^p(\mathbf{R}^d)$ , for any  $p \in \langle 1, \infty \rangle$ .

We are interested in the properties of their commutator,  $C = \mathcal{A}_\psi M_\varphi - M_\varphi \mathcal{A}_\psi$ .

**Lemma.** *Let  $(v_n)$  be bounded in both  $L^2(\mathbf{R}^d)$  and  $L^r(\mathbf{R}^d)$ , for some  $r \in \langle 2, \infty \rangle$ , and let  $v_n \rightharpoonup 0$  in  $\mathcal{D}'$ . Then the sequence  $(Cv_n)$  strongly converges to zero in  $L^q(\mathbf{R}^d)$ , for any  $q \in [2, r] \setminus \{\infty\}$ .* ■

If  $q < r$ , we can apply the classical interpolation inequality:

$$\|Cv_n\|_q \leq \|Cv_n\|_2^\alpha \|Cv_n\|_r^{1-\alpha},$$

for  $\alpha \in \langle 0, 1 \rangle$  such that  $1/q = \alpha/2 + (1-\alpha)/r$ . As  $C$  is compact on  $L^2(\mathbf{R}^d)$  by Tartar's First commutation lemma, while it is bounded on  $L^r(\mathbf{R}^d)$ , we get the claim.

For the most interesting case, where  $q = r$ , we need a better result: the Krasnosel'skij theorem (a variant of Riesz-Thorin theorem).

We still need a lemma on *compactness* of uniformly bounded bilinear forms, and an application of the Schwartz kernel theorem.

## Localisation principle

**Theorem.** Take  $u_n \rightarrow 0$  in  $L^p(\mathbf{R}^d)$ ,  $f_n \rightarrow 0$  in  $W_{\text{loc}}^{-1,q}(\mathbf{R}^d)$ , for some  $q \in \langle 1, d \rangle$ , such that

$$\operatorname{div}(\mathbf{a}(\mathbf{x})u_n(\mathbf{x})) = f_n(\mathbf{x}) .$$

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Take an arbitrary  $(v_n)$  bounded in  $L^\infty(\mathbf{R}^d)$ , and by  $\mu$  denote the  $H$ -distribution corresponding to a subsequence of  $(u_n)$  and  $(v_n)$ . Then

$$(\mathbf{a}(\mathbf{x}) \cdot \boldsymbol{\xi})\mu(\mathbf{x}, \boldsymbol{\xi}) = 0$$

in the sense of distributions on  $\mathbf{R}^d \times S^{d-1}$ ,  $(\mathbf{x}, \boldsymbol{\xi}) \mapsto \mathbf{a}(\mathbf{x}) \cdot \boldsymbol{\xi}$  being the symbol of the linear PDO with  $C_0^\kappa$  coefficients. ■

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In order to prove the theorem, we need a particular multiplier, the so called (Marcel) Riesz potential  $I_1 := \mathcal{A}_{|2\pi\xi|^{-1}}$ , and the Riesz transforms  $R_j := \mathcal{A}_{\frac{\xi_j}{i|\xi|}}$ .

Note that

$$\int I_1(\phi)\partial_j g = \int (R_j\phi)g, \quad g \in \mathcal{S}(\mathbf{R}^d).$$

Using the density argument and that  $R_j$  is bounded from  $L^p(\mathbf{R}^d)$  to itself, we conclude  $\partial_j I_1(\phi) = -R_j(\phi)$ , for  $\phi \in L^p(\mathbf{R}^d)$ .

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**(an application suggested by Darko Mitrović)** For scalar conservation law with discontinuous flux, the most up to date existence result for the equation

$$u_t + \operatorname{div} \mathbf{f}(t, \mathbf{x}, u) = 0$$

is obtained under the assumptions

$$\max_{\lambda \in \mathbf{R}} |\mathbf{f}(t, \mathbf{x}, \lambda)| \in L^{2+\varepsilon}(\mathbf{R}_+^d).$$

Using the  $H$ -distributions, it is possible to prove an existence result for the given equation under the assumption

$$\max_{\lambda \in \mathbf{R}} |\mathbf{f}(t, \mathbf{x}, \lambda)| \in L^{1+\varepsilon}(\mathbf{R}_+^d).$$

## Further variants

N.A. & I. Ivec: extension to Lebesgue spaces with mixed norm

M. Lazar & D. Mitrović: applications to velocity averaging

M. Mišur & D. Mitrović: a form of compactness by compensation

J. Aleksić, S. Pilipović, I. Vojnović (preprint): in  $\mathcal{S} - \mathcal{S}'$  setting

F. Rindler (ARMA, 2015): microlocal compactness forms

## Semiclassical measures

**Theorem.** *If  $u_n \rightharpoonup 0$  in  $L^2(\Omega; \mathbf{C}^r)$ ,  $\omega_n \rightarrow 0^+$ , then there exist a subsequence  $(u_{n'})$  and  $\mu_{sc}^{(\omega_n)} \in \mathcal{M}_b(\Omega \times \mathbf{R}^d; M_r(\mathbf{C}))$  such that for any  $\varphi_1, \varphi_2 \in C_c^\infty(\Omega)$  and  $\psi \in \mathcal{S}(\mathbf{R}^d)$*

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Measure  $\mu_{sc}^{(\omega_n)}$  we call *the semiclassical measure with characteristic length  $(\omega_n)$  corresponding to the (sub)sequence  $(u_n)$ .* ■

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**Theorem.**

$$u_n \xrightarrow{L^2_{\text{loc}}} 0 \iff \mu_{sc}^{(\omega_n)} = 0 \quad \& \quad (u_n) \text{ is } (\omega_n) - \text{oscillatory.}$$

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The distribution of the zero order  $\mu_{sc}^{(\omega_n)}$  we call *the semiclassical measure with characteristic length  $(\omega_n)$*  corresponding to the (sub)sequence  $(u_n)$ . ■

**Definition**  $(u_n)$  is  $(\omega_n)$ -oscillatory if

$$(\forall \varphi \in C_c^\infty(\Omega)) \quad \lim_{R \rightarrow \infty} \limsup_n \int_{|\boldsymbol{\xi}| \geq \frac{R}{\omega_n}} |\widehat{\varphi u_n}(\boldsymbol{\xi})|^2 d\boldsymbol{\xi} = 0.$$

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## Localisation principle for semiclassical measures

Let  $\Omega \subseteq \mathbf{R}^d$  open,  $m \in \mathbf{N}$ ,  $u_n \rightharpoonup 0$  in  $L^2_{\text{loc}}(\Omega; \mathbf{C}^r)$  and

$$\mathbf{P}_n u_n := \sum_{|\alpha| \leq m} \varepsilon_n^{|\alpha|} \partial_\alpha (\mathbf{A}^\alpha u_n) = \mathbf{f}_n \quad \text{in } \Omega,$$

where

- $\varepsilon_n \rightarrow 0^+$
- $\mathbf{A}^\alpha \in C(\Omega; M_r(\mathbf{C}))$
- $\mathbf{f}_n \rightarrow 0$  in  $L^2_{\text{loc}}(\Omega; \mathbf{C}^r)$ .

Then we have

$$\mathbf{p} \mu_{sc}^\top = \mathbf{0},$$

where  $\mathbf{p}(\mathbf{x}, \boldsymbol{\xi}) = \sum_{|\alpha| \leq m} \boldsymbol{\xi}^\alpha \mathbf{A}^\alpha(\mathbf{x})$ , and  $\mu_{sc}$  is semiclassical measure with characteristic length  $(\varepsilon_n)$ , corresponding to  $(u_n)$ .

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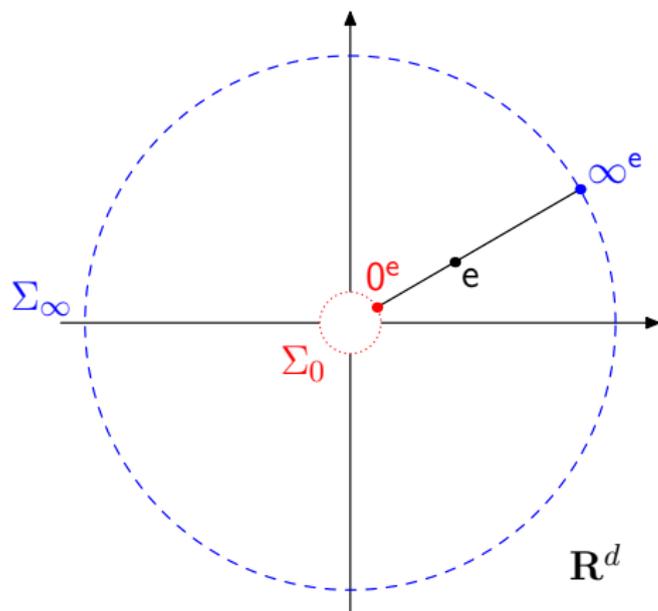
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**Problem:**  $\mu_{sc} = \mathbf{0}$  is not enough for the strong convergence!

# Compactification of $\mathbf{R}^d \setminus \{0\}$



$$\Sigma_0 := \{0^e : e \in S^{d-1}\}$$

$$\Sigma_\infty := \{\infty^e : e \in S^{d-1}\}$$

$$K_{0,\infty}(\mathbf{R}^d) := \mathbf{R}^d \setminus \{0\} \cup \Sigma_0 \cup \Sigma_\infty$$

**Corollary.** a)  $C_0(\mathbf{R}^d) \subseteq C(K_{0,\infty}(\mathbf{R}^d))$ .

b)  $\psi \in C(S^{d-1})$ ,  $\psi \circ \pi \in C(K_{0,\infty}(\mathbf{R}^d))$ , where  $\pi(\xi) = \xi/|\xi|$ . ■

## One-scale H-measures

**Theorem.** *If  $u_n \rightharpoonup 0$  in  $L^2(\Omega; \mathbf{C}^r)$ ,  $\omega_n \rightarrow 0^+$ , then there exists a subsequence  $(u_{n'})$  and  $\mu_{sc}^{(\omega_n)} \in \mathcal{M}_b(\Omega \times \mathbf{R}^d; M_r(\mathbf{C}))$  such that for any  $\varphi_1, \varphi_2 \in C_c^\infty(\Omega)$  and  $\psi \in \mathcal{S}(\mathbf{R}^d)$*

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N. A., MARKO ERCEG, MARTIN LAZAR: *Localisation principle for one-scale H-measures*, submitted (arXiv).

## Idea of the proof

Tartar's approach:

- $\mathbf{v}_n(\mathbf{x}, x^{d+1}) := \mathbf{u}_n(\mathbf{x}) e^{\frac{2\pi i x^{d+1}}{\omega_n}} \rightarrow 0$  in  $L^2_{\text{loc}}(\Omega \times \mathbf{R}; \mathbf{C}^r)$
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### Our approach:

- First commutation lemma:

**Lemma.** *Let  $\psi \in C(K_{0, \infty}(\mathbf{R}^d))$ ,  $\varphi \in C_0(\mathbf{R}^d)$ ,  $\omega_n \rightarrow 0^+$ , and denote  $\psi_n(\boldsymbol{\xi}) := \psi(\omega_n \boldsymbol{\xi})$ . Then the commutator can be expressed as a sum*

$$C_n := [B_\varphi, \mathcal{A}_{\psi_n}] = \tilde{C}_n + K,$$

where  $K$  is a compact operator on  $L^2(\mathbf{R}^d)$ , while  $\tilde{C}_n \rightarrow 0$  in the operator norm on  $\mathcal{L}(L^2(\mathbf{R}^d))$ . ■

- standard procedure: (a variant of) the kernel theorem, separability, ...

## Some properties of $\mu_{K_0, \infty}$

**Theorem.**

$$a) \quad \mu_{K_0, \infty}^* = \mu_{K_0, \infty}, \quad \mu_{K_0, \infty} \geq 0$$

$$b) \quad u_n \xrightarrow{L^2_{\text{loc}}} 0 \quad \iff \quad \mu_{K_0, \infty} = 0$$

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■

**Theorem.**  $\varphi_1, \varphi_2 \in C_c(\Omega)$ ,  $\psi \in C_0(\mathbf{R}^d)$ ,  $\tilde{\psi} \in C(S^{d-1})$ ,  $\omega_n \rightarrow 0^+$ ,

$$a) \quad \langle \mu_{K_0, \infty}^{(\omega_n)}, \varphi_1 \bar{\varphi}_2 \boxtimes \psi \rangle = \langle \mu_{sc}^{(\omega_n)}, \varphi_1 \bar{\varphi}_2 \boxtimes \psi \rangle,$$

$$b) \quad \langle \mu_{K_0, \infty}^{(\omega_n)}, \varphi_1 \bar{\varphi}_2 \boxtimes \tilde{\psi} \circ \pi \rangle = \langle \mu_H, \varphi_1 \bar{\varphi}_2 \boxtimes \tilde{\psi} \rangle,$$

where  $\pi(\xi) = \xi/|\xi|$ .

■

## Localisation principle

Let  $\Omega \subseteq \mathbf{R}^d$  open,  $m \in \mathbf{N}$ ,  $u_n \rightarrow 0$  in  $L^2_{\text{loc}}(\Omega; \mathbf{C}^r)$  and

$$\sum_{l \leq |\alpha| \leq m} \varepsilon_n^{|\alpha|-l} \partial_\alpha (\mathbf{A}^\alpha u_n) = f_n \quad \text{in } \Omega,$$

where

- $l \in 0..m$
- $\varepsilon_n \rightarrow 0^+$
- $\mathbf{A}^\alpha \in C(\Omega; M_r(\mathbf{C}))$
- $f_n \in \mathbf{H}^{-m}_{\text{loc}}(\Omega; \mathbf{C}^r)$  such that

$$(\forall \varphi \in C_c^\infty(\Omega)) \quad \frac{\widehat{\varphi f_n}}{1 + \sum_{s=l}^m \varepsilon_n^{s-l} |\xi|^s} \rightarrow 0 \quad \text{in } L^2(\mathbf{R}^d; \mathbf{C}^r) \quad (C(\varepsilon_n))$$

## Localisation principle

Let  $\Omega \subseteq \mathbf{R}^d$  open,  $m \in \mathbf{N}$ ,  $u_n \rightarrow 0$  in  $L^2_{\text{loc}}(\Omega; \mathbf{C}^r)$  and

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where

- $l \in 0..m$
- $\varepsilon_n \rightarrow 0^+$
- $\mathbf{A}^\alpha \in C(\Omega; M_r(\mathbf{C}))$
- $f_n \in H^-_{\text{loc}}(\Omega; \mathbf{C}^r)$  such that

$$(\forall \varphi \in C_c^\infty(\Omega)) \quad \frac{\widehat{\varphi f_n}}{1 + \sum_{s=l}^m \varepsilon_n^{s-l} |\xi|^s} \rightarrow 0 \quad \text{in } L^2(\mathbf{R}^d; \mathbf{C}^r) \quad (C(\varepsilon_n))$$

**Lemma.** a)  $(C(\varepsilon_n))$  is equivalent to

$$(\forall \varphi \in C_c^\infty(\Omega)) \quad \frac{\widehat{\varphi f_n}}{1 + |\xi|^l + \varepsilon_n^{m-l} |\xi|^m} \rightarrow 0 \quad \text{in } L^2(\mathbf{R}^d; \mathbf{C}^r).$$

b)  $(\exists k \in l..m) f_n \rightarrow 0$  in  $H^-_{\text{loc}}(\Omega; \mathbf{C}^r) \implies (\varepsilon_n^{k-l} f_n)$  satisfies  $(C(\varepsilon_n))$ . ■

## Localisation principle

$$\sum_{l \leq |\alpha| \leq m} \varepsilon_n^{|\alpha|-l} \partial_\alpha (\mathbf{A}^\alpha \mathbf{u}_n) = \mathbf{f}_n \quad \text{in } \Omega,$$

$$(\forall \varphi \in C_c^\infty(\Omega)) \quad \frac{\widehat{\varphi \mathbf{f}_n}}{1 + \sum_{s=l}^m \varepsilon_n^{s-l} |\boldsymbol{\xi}|^s} \longrightarrow 0 \quad \text{in } L^2(\mathbf{R}^d; \mathbf{C}^r). \quad (C(\varepsilon_n))$$

**Theorem. [Tartar (2009)]** *Under previous assumptions and  $l = 1$ , one-scale  $H$ -measure  $\boldsymbol{\mu}_{K_0, \infty}$  with characteristic length  $(\varepsilon_n)$  corresponding to  $(\mathbf{u}_n)$  satisfies*

$$\text{supp}(\mathbf{p}\boldsymbol{\mu}_{K_0, \infty}^\top) \subseteq \Omega \times \Sigma_0,$$

where

$$\mathbf{p}(\mathbf{x}, \boldsymbol{\xi}) := \sum_{1 \leq |\alpha| \leq m} (2\pi i)^{|\alpha|} \frac{\boldsymbol{\xi}^\alpha}{|\boldsymbol{\xi}| + |\boldsymbol{\xi}|^m} \mathbf{A}^\alpha(\mathbf{x}).$$

■

## Localisation principle

$$\sum_{l \leq |\alpha| \leq m} \varepsilon_n^{|\alpha|-l} \partial_\alpha (\mathbf{A}^\alpha \mathbf{u}_n) = \mathbf{f}_n \quad \text{in } \Omega,$$

$$(\forall \varphi \in C_c^\infty(\Omega)) \quad \frac{\widehat{\varphi \mathbf{f}_n}}{1 + \sum_{s=l}^m \varepsilon_n^{s-l} |\boldsymbol{\xi}|^s} \longrightarrow 0 \quad \text{in } L^2(\mathbf{R}^d; \mathbf{C}^r). \quad (C(\varepsilon_n))$$

**Theorem. [N.A., Erceg, Lazar (2015)]** *Under previous assumptions, one-scale H-measure  $\boldsymbol{\mu}_{K_0, \infty}$  with characteristic length  $(\varepsilon_n)$  corresponding to  $(\mathbf{u}_n)$  satisfies*

$$\mathbf{p} \boldsymbol{\mu}_{K_0, \infty}^\top = \mathbf{0},$$

where

$$\mathbf{p}(\mathbf{x}, \boldsymbol{\xi}) := \sum_{l \leq |\alpha| \leq m} (2\pi i)^{|\alpha|} \frac{\boldsymbol{\xi}^\alpha}{|\boldsymbol{\xi}|^l + |\boldsymbol{\xi}|^m} \mathbf{A}^\alpha(\mathbf{x}).$$

■

## Localisation principle - final generalisation

**Theorem.** Take  $\varepsilon_n > 0$  bounded,  $\mathbf{u}_n \rightharpoonup 0$  in  $L^2_{\text{loc}}(\Omega; \mathbf{C}^r)$  and

$$\sum_{l \leq |\alpha| \leq m} \varepsilon_n^{|\alpha| - l} \partial_\alpha (\mathbf{A}_n^\alpha \mathbf{u}_n) = \mathbf{f}_n,$$

where  $\mathbf{A}_n^\alpha \in C(\Omega; M_r(\mathbf{C}))$ ,  $\mathbf{A}_n^\alpha \rightarrow \mathbf{A}^\alpha$  uniformly on compact sets, and  $\mathbf{f}_n \in H^{-m}_{\text{loc}}(\Omega; \mathbf{C}^r)$  satisfies  $C(\varepsilon_n)$ .

Then for  $\omega_n \rightarrow 0^+$  such that  $c := \lim_n \frac{\varepsilon_n}{\omega_n} \in [0, \infty]$ , the corresponding one-scale  $H$ -measure  $\boldsymbol{\mu}_{K_0, \infty}$  with characteristic length  $(\omega_n)$  satisfies

$$\mathbf{p} \boldsymbol{\mu}_{K_0, \infty}^\top = \mathbf{0},$$

where

$$\mathbf{p}(\mathbf{x}, \boldsymbol{\xi}) := \begin{cases} \sum_{|\alpha|=l} \frac{\boldsymbol{\xi}^\alpha}{|\boldsymbol{\xi}|^l + |\boldsymbol{\xi}|^m} \mathbf{A}^\alpha(\mathbf{x}) & , \quad c = 0 \\ \sum_{l \leq |\alpha| \leq m} (2\pi i c)^{|\alpha|} \frac{\boldsymbol{\xi}^\alpha}{|\boldsymbol{\xi}|^l + |\boldsymbol{\xi}|^m} \mathbf{A}^\alpha(\mathbf{x}) & , \quad c \in \langle 0, \infty \rangle \\ \sum_{|\alpha|=m} \frac{\boldsymbol{\xi}^\alpha}{|\boldsymbol{\xi}|^l + |\boldsymbol{\xi}|^m} \mathbf{A}^\alpha(\mathbf{x}) & , \quad c = \infty \end{cases}$$

Moreover, if there exists  $\varepsilon_0 > 0$  such that  $\varepsilon_n > \varepsilon_0$ ,  $n \in \mathbf{N}$ , we can take

$$\mathbf{p}(\mathbf{x}, \boldsymbol{\xi}) := \sum_{|\alpha|=m} \frac{\boldsymbol{\xi}^\alpha}{|\boldsymbol{\xi}|^m} \mathbf{A}^\alpha(\mathbf{x}).$$

■

## Localisation principle - final generalisation

**Theorem.** Take  $\varepsilon_n > 0$  bounded,  $u_n \rightharpoonup 0$  in  $L^2_{\text{loc}}(\Omega; \mathbf{C}^r)$  and

$$\sum_{l \leq |\alpha| \leq m} \varepsilon_n^{|\alpha| - l} \partial_\alpha (\mathbf{A}_n^\alpha u_n) = f_n,$$

where  $\mathbf{A}_n^\alpha \in C(\Omega; M_r(\mathbf{C}))$ ,  $\mathbf{A}_n^\alpha \rightarrow \mathbf{A}^\alpha$  uniformly on compact sets, and  $f_n \in H^{-m}_{\text{loc}}(\Omega; \mathbf{C}^r)$  satisfies  $C(\varepsilon_n)$ .

Then for  $\omega_n \rightarrow 0^+$  such that  $c := \lim_n \frac{\varepsilon_n}{\omega_n} \in [0, \infty]$ , the corresponding one-scale H-measure  $\mu_{K_{0,\infty}}$  with characteristic length  $(\omega_n)$  satisfies

$$\mathbf{p}\mu_{K_{0,\infty}}^\top = \mathbf{0},$$

where

$$\mathbf{p}(\mathbf{x}, \xi) := \begin{cases} \sum_{|\alpha|=l} \frac{\xi^\alpha}{|\xi|^l + |\xi|^m} \mathbf{A}^\alpha(\mathbf{x}) & , \quad c = 0 \\ \sum_{l \leq |\alpha| \leq m} (2\pi i c)^{|\alpha|} \frac{\xi^\alpha}{|\xi|^l + |\xi|^m} \mathbf{A}^\alpha(\mathbf{x}) & , \quad c \in \langle 0, \infty \rangle \\ \sum_{|\alpha|=m} \frac{\xi^\alpha}{|\xi|^l + |\xi|^m} \mathbf{A}^\alpha(\mathbf{x}) & , \quad c = \infty \end{cases}$$

Moreover, if there exists  $\varepsilon_0 > 0$  such that  $\varepsilon_n > \varepsilon_0$ ,  $n \in \mathbf{N}$ , we can take

$$\mathbf{p}(\mathbf{x}, \xi) := \sum_{|\alpha|=m} \frac{\xi^\alpha}{|\xi|^m} \mathbf{A}^\alpha(\mathbf{x}).$$

■

As a corollary from the previous theorem we can derive localisation principles for H-measures and semiclassical measures.

**Thank you for your attention.**