

Homogenization of elastic plate equation

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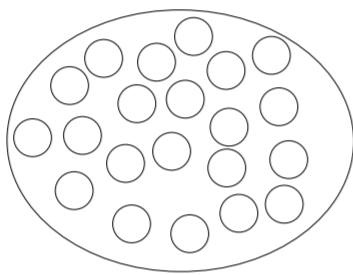
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Homogenization theory

The physical idea of homogenization is to average a heterogeneous media in order to derive effective properties.

$$\begin{cases} Au = f & \text{in } \Omega \\ \text{initial/boundary condition} \end{cases}$$



The mathematical theory of homogenization: we consider a sequence of problems

$$\begin{cases} A_n u_n = f & \text{in } \Omega \\ \text{initial/boundary condition} \end{cases}$$

If $u_n \rightarrow u$, $A_n \rightarrow A$ the limit (effective) problem is

$$\begin{cases} Au = f & \text{in } \Omega \\ \text{initial/boundary condition} \dots \end{cases}$$

The mathematical problem is to determine an adequate topologies for these convergences.

Elastic plate equation

Homogeneous Dirichlet boundary value problem:

$$\begin{cases} \operatorname{divdiv}(\mathbf{M}\nabla\nabla u) = f & \text{in } \Omega \\ u \in H_0^2(\Omega) \end{cases}$$

- $\Omega \subseteq \mathbb{R}^2$ bounded domain
- $f \in H^{-2}(\Omega)$ external load
- $\mathbf{M} \in \mathfrak{M}_2(\alpha, \beta; \Omega) := \{\mathbf{M} \in L^\infty(\Omega; \mathcal{L}(\operatorname{Sym}, \operatorname{Sym})) : (\forall \mathbf{S} \in \operatorname{Sym}) \mathbf{M}(\mathbf{x})\mathbf{S} : \mathbf{S} \geq \alpha\mathbf{S} : \mathbf{S} \text{ and } \mathbf{M}^{-1}(\mathbf{x})\mathbf{S} : \mathbf{S} \geq \frac{1}{\beta}\mathbf{S} : \mathbf{S} \text{ a. e. } \mathbf{x}\}$ describes elastic properties of the given plate
- u transversal displacement of the plate

Antonić, Balenović, 1999:

Definition 1 A sequence of tensor functions (\mathbf{M}^n) in $\mathfrak{M}_2(\alpha, \beta; \Omega)$ H -converges to $\mathbf{M} \in \mathfrak{M}_2(\alpha', \beta'; \Omega)$ if for any $f \in H^{-2}(\Omega)$ the sequence of solutions (u_n) of problems

$$\begin{cases} \operatorname{divdiv}(\mathbf{M}^n \nabla \nabla u_n) = f & \text{in } \Omega \\ u_n \in H_0^2(\Omega) \end{cases}$$

converges weakly to a limit u in $H_0^2(\Omega)$, while the sequence $(\mathbf{M}^n \nabla \nabla u_n)$ converges to $\mathbf{M} \nabla \nabla u$ weakly in the space $L^2(\Omega; \operatorname{Sym})$.

Theorem 1 Let (\mathbf{M}^n) be a sequence in $\mathfrak{M}_2(\alpha, \beta; \Omega)$. Then there is a subsequence (\mathbf{M}^{n_k}) and a tensor function $\mathbf{M} \in \mathfrak{M}_2(\alpha, \beta; \Omega)$ such that (\mathbf{M}^{n_k}) H -converges to \mathbf{M} .

Properties of H-convergence and corrector result

Theorem 2 (Irrelevance of boundary conditions) Let (\mathbf{M}^n) be a sequence of tensors in $\mathfrak{M}_2(\alpha, \beta; \Omega)$ that H -converges to \mathbf{M} . For any sequence (z_n) such that

$$\begin{aligned} z_n &\rightarrow z & \text{in } H_{\text{loc}}^2(\Omega) \\ \operatorname{divdiv}(\mathbf{M}^n \nabla \nabla z_n) &= f_n \rightarrow f & \text{in } H_{\text{loc}}^{-2}(\Omega), \end{aligned}$$

the weak convergence $\mathbf{M}^n \nabla \nabla z_n \rightarrow \mathbf{M} \nabla \nabla z$ in $L_{\text{loc}}^2(\Omega; \operatorname{Sym})$ holds.

Theorem 3 Let (\mathbf{M}^n) be a sequence of tensors in $\mathfrak{M}_2(\alpha, \beta; \Omega)$ that either converges strongly to a limit tensor \mathbf{M} in $L^1(\Omega; \mathcal{L}(\operatorname{Sym}, \operatorname{Sym}))$, or converges to \mathbf{M} almost everywhere in Ω . Then \mathbf{M}^n H -converges to \mathbf{M} .

Theorem 4 Let $F = \{f_n : n \in \mathbf{N}\}$ be a dense countable family in $H^{-2}(\Omega)$, \mathbf{M} and \mathbf{O} tensors in $\mathfrak{M}_2(\alpha, \beta; \Omega)$, and $(u_n), (v_n)$ sequences of solutions to

$$\begin{cases} \operatorname{divdiv}(\mathbf{M} \nabla \nabla u_n) = f_n \\ u_n \in H_0^2(\Omega) \end{cases}$$

and

$$\begin{cases} \operatorname{divdiv}(\mathbf{O} \nabla \nabla v_n) = f_n \\ v_n \in H_0^2(\Omega) \end{cases},$$

respectively. Then,

$$d(\mathbf{M}, \mathbf{O}) := \sum_{n=1}^{\infty} 2^{-n} \frac{\|u_n - v_n\|_{L^2(\Omega)} + \|\mathbf{M} \nabla \nabla u_n - \mathbf{O} \nabla \nabla v_n\|_{H^{-1}(\Omega; \operatorname{Sym})}}{\|f_n\|_{H^{-2}(\Omega)}}$$

is a metric function on $\mathfrak{M}_2(\alpha, \beta; \Omega)$ and H -convergence is equivalent to the convergence with respect to d .

Definition 2 Let (\mathbf{M}^n) be a sequence of tensors in $\mathfrak{M}_2(\alpha, \beta; \Omega)$ that H -converges to a limit \mathbf{M} . Let $(w_n^{ij})_{1 \leq i, j \leq N}$ be a family of test functions satisfying

$$\begin{aligned} w_n^{ij} &\rightarrow \frac{1}{2} x_i x_j & \text{in } H^2(\Omega) \\ \operatorname{divdiv}(\mathbf{M}^n \nabla \nabla w_n^{ij}) &\rightarrow \cdot & \text{in } H_{\text{loc}}^{-2}(\Omega) \\ \mathbf{M}^n \nabla \nabla w_n^{ij} &\rightarrow \cdot & \text{in } L_{\text{loc}}^2(\Omega; \operatorname{Sym}). \end{aligned}$$

The tensor \mathbf{W}^n defined as $[a_{ijkm}]_{ij} = [\nabla \nabla w_n^{km}]_{ij}$ is called a corrector tensor.

Theorem 5 (Corrector result) Let (\mathbf{M}^n) be a sequence of tensors in $\mathfrak{M}_2(\alpha, \beta; \Omega)$ which H -converges to \mathbf{M} . For $f \in H^{-2}(\Omega)$, let (u_n) be the solution of

$$\begin{cases} \operatorname{divdiv}(\mathbf{M}^n \nabla \nabla u_n) = f & \text{in } \Omega \\ u_n \in H_0^2(\Omega). \end{cases}$$

Let u be the weak limit of (u_n) in $H_0^2(\Omega)$, i. e., the solution of the homogenized equation

$$\begin{cases} \operatorname{divdiv}(\mathbf{M} \nabla \nabla u) = f & \text{in } \Omega \\ u \in H_0^2(\Omega). \end{cases}$$

Then, $r_n := \nabla \nabla u_n - \mathbf{W}^n \nabla \nabla u \rightarrow 0$ strongly in $L_{\text{loc}}^1(\Omega; \operatorname{Sym})$.

Small-amplitude homogenization

Theorem 6 Let (\mathbf{M}^n) be a sequence of tensors defined by $\mathbf{M}^n(\mathbf{x}) := \mathbf{M}(n\mathbf{x})$, $x \in \Omega$, $Y = [0, 1]^d$, $H_{\#}^2(Y) := \{f \in H_{\text{loc}}^2(\mathbb{R}^d) \text{ such that } f \text{ is } Y\text{-periodic}\}$ with the norm $\|\cdot\|_{H^2(Y)}$ and $\mathbf{E}_{ij}, 1 \leq i, j \leq d$ are $M_{d \times d}$ matrices defined as

$$[\mathbf{E}_{ij}]_{kl} = \begin{cases} 1, & \text{if } i = j = k = l \\ \frac{1}{2}, & \text{if } i \neq j, (k, l) \in \{(i, j), (j, i)\} \\ 0, & \text{otherwise.} \end{cases}$$

Then (\mathbf{M}^n) H -converges to a constant tensor $\mathbf{M}^* \in \mathfrak{M}_2(\alpha, \beta; \Omega)$ defined as

$$m_{kl}^* = \int_Y \mathbf{M}(\mathbf{y})(\mathbf{E}_{ij} + \nabla \nabla w_{ij}(\mathbf{y})) : (\mathbf{E}_{kl} + \nabla \nabla w_{kl}(\mathbf{y})) \, d\mathbf{y},$$

where $(w_{ij})_{1 \leq i, j \leq d}$ is the family of unique solutions in $H_{\#}^2(Y)/\mathbf{R}$ of boundary value problems

$$\begin{cases} \operatorname{divdiv}(\mathbf{M}(\mathbf{y})(\mathbf{E}_{ij} + \nabla \nabla w_{ij}(\mathbf{y}))) = 0 & \text{in } Y, i, j = 1, \dots, d \\ \mathbf{y} \rightarrow w_{ij}(\mathbf{y}). \end{cases}$$

Theorem 7 Let $\mathbf{A}_0 \in \mathcal{L}(\operatorname{Sym}; \operatorname{Sym})$ be a constant coercive tensor, $Y = [0, 1]^d$, $\mathbf{B}^n(\mathbf{y}) := \mathbf{B}(n\mathbf{y})$, $y \in \Omega$, where $\Omega \subseteq \mathbb{R}^d$ is a bounded, open set. Additionally, let \mathbf{B} be a Y -periodic, L^∞ tensor function, for which we assume that $\int_Y \mathbf{B}(\mathbf{y}) \, d\mathbf{y} = 0$, $p \in P$ where $P \subseteq \mathbf{R}$ is an open set, and

$$\mathbf{A}_p^n(\mathbf{y}) = \mathbf{A}_0 + p\mathbf{B}^n(\mathbf{y}).$$

Then

$$\mathbf{A}_p^n(\mathbf{y}) := \mathbf{A}_0 + p\mathbf{B}^n(\mathbf{y})$$

H -converges to a tensor

$$\mathbf{A}_p := \mathbf{A}_0 + p\mathbf{B}_0 + p^2\mathbf{C}_0 + o(p^2)$$

with coefficients $\mathbf{B}_0 = 0$ and

$$\begin{aligned} \mathbf{C}_0 \mathbf{E}_{mn} : \mathbf{E}_{rs} &= (2\pi i)^2 \sum_{\mathbf{k} \in J} a_{-\mathbf{k}}^{mn} \mathbf{B}_{\mathbf{k}}(\mathbf{k} \otimes \mathbf{k}) : \mathbf{E}_{rs} + \\ &+ (2\pi i)^4 \sum_{\mathbf{k} \in J} a_{\mathbf{k}}^{mn} \mathbf{A}_0(\mathbf{k} \otimes \mathbf{k}) : a_{-\mathbf{k}}^{rs} \mathbf{k} \otimes \mathbf{k} + \\ &+ (2\pi i)^2 \sum_{\mathbf{k} \in J} \mathbf{B}_{\mathbf{k}} \mathbf{E}_{mn} : a_{-\mathbf{k}}^{rs} \mathbf{k} \otimes \mathbf{k}, \end{aligned} \quad (1)$$

where $m, n, r, s \in \{1, 2, \dots, d\}$,

$$a_{\mathbf{k}}^{mn} = -\frac{\mathbf{B}_{\mathbf{k}} \mathbf{E}_{mn} \mathbf{k} \cdot \mathbf{k}}{(2\pi i)^2 \mathbf{A}_0(\mathbf{k} \otimes \mathbf{k}) : (\mathbf{k} \otimes \mathbf{k})}, \quad \mathbf{k} \in J, \quad m, n \in \{1, 2, \dots, d\}$$

and $\mathbf{B}_{\mathbf{k}}, \mathbf{k} \in J$, are Fourier coefficients of functions $w_{\mathbf{k}}^{mn}$ and \mathbf{B} , respectively.