



# Homogenization of Kirchhoff-Love plate equation

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## Kirchhoff-Love plate equation

Homogeneous Dirichlet boundary value problem:

$$\begin{cases} \operatorname{div} \operatorname{div} (\mathbf{M} \nabla \nabla u) = f & \text{in } \Omega \\ u \in H_0^2(\Omega). \end{cases}$$

- $\Omega \subseteq \mathbb{R}^d$  bounded domain ( $d = 2 \dots$  plate)
- $f \in H^{-2}(\Omega)$  external load
- $u \in H_0^2(\Omega)$  vertical displacement of the plate
- $\mathbf{M} \in \mathfrak{M}_2(\alpha, \beta; \Omega) := \{ \mathbf{N} \in L^\infty(\Omega; \mathcal{L}(\operatorname{Sym}, \operatorname{Sym})) : (\forall \mathbf{S} \in \operatorname{Sym}) \mathbf{N}(\mathbf{x}) \mathbf{S} : \mathbf{S} \geq \alpha \mathbf{S} : \mathbf{S} \text{ and } \mathbf{N}^{-1}(\mathbf{x}) \mathbf{S} : \mathbf{S} \geq \frac{1}{\beta} \mathbf{S} : \mathbf{S} \text{ a.e. } \mathbf{x} \}$   
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## H-convergence

### Definition

A sequence of tensor functions  $(\mathbf{M}^n)$  in  $\mathfrak{M}_2(\alpha, \beta; \Omega)$  H-converges to  $\mathbf{M} \in \mathfrak{M}_2(\alpha', \beta'; \Omega)$  if for any  $f \in H^{-2}(\Omega)$  the sequence of solutions  $(u_n)$  of problems

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converges weakly to a limit  $u$  in  $H_0^2(\Omega)$ , while the sequence  $(\mathbf{M}^n \nabla \nabla u_n)$  converges to  $\mathbf{M} \nabla \nabla u$  weakly in the space  $L^2(\Omega; \operatorname{Sym})$ .

### Theorem

*Let  $(\mathbf{M}^n)$  be a sequence in  $\mathfrak{M}_2(\alpha, \beta; \Omega)$ . Then there is a subsequence  $(\mathbf{M}^{n_k})$  and a tensor function  $\mathbf{M} \in \mathfrak{M}_2(\alpha, \beta; \Omega)$  such that  $(\mathbf{M}^{n_k})$  H-converges to  $\mathbf{M}$ .*



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## Compactness

Antonić, Balenović, 1999.

Zikov, Kozlov, Oleinik, Ngoan, 1979.

### Theorem (Compactness by compensation)

*Let the following convergences be valid:*

$$\begin{aligned}w^n &\rightharpoonup w^\infty \quad \text{in } H_{\text{loc}}^2(\Omega), \\ \mathbf{D}^n &\rightharpoonup \mathbf{D}^\infty \quad \text{in } L_{\text{loc}}^2(\Omega; \text{Sym}),\end{aligned}$$

*with an additional assumption that the sequence  $(\text{div div } \mathbf{D}^n)$  is contained in a precompact (for the strong topology) set of the space  $H_{\text{loc}}^{-2}(\Omega)$ . Then we have*

$$\nabla \nabla w^n : \mathbf{D}^n \xrightarrow{*} \nabla \nabla w^\infty : \mathbf{D}^\infty$$

*in the space of Radon measures.*



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## Properties

- Locality of the H-convergence
- Irrelevance of boundary conditions
- Energy convergence
- Ordering property
- Metrizability
- Corrector results
- Smooth dependence of H-limit on a parameter
- H-limit of periodic sequence
- Small-amplitude homogenization





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## Definition of correctors

### Definition

Let  $(\mathbf{M}^n)$  be a sequence of tensors in  $\mathfrak{M}_2(\alpha, \beta; \Omega)$  that H-converges to a limit  $\mathbf{M}$ . Let  $(w_n^{ij})_{1 \leq i, j \leq d}$  be a family of test functions satisfying

$$w_n^{ij} \rightharpoonup \frac{1}{2} x_i x_j \quad \text{in } H^2(\Omega)$$

$$\mathbf{M}^n \nabla \nabla w_n^{ij} \rightharpoonup \cdot \quad \text{in } L^2_{\text{loc}}(\Omega; \text{Sym})$$

$$\text{div div} (\mathbf{M}^n \nabla \nabla w_n^{ij}) \rightarrow \cdot \quad \text{in } H^{-2}_{\text{loc}}(\Omega).$$

The sequence of tensors  $\mathbf{W}^n$  defined with  $\mathbf{W}^n_{ijkm} = [\nabla \nabla w_n^{km}]_{ij}$  is called a sequence of correctors.



## Uniqueness of correctors

### Theorem

Let  $(\mathbf{M}^n)$  be a sequence of tensors in  $\mathfrak{M}_2(\alpha, \beta; \Omega)$  that  $H$ -converges to a tensor  $\mathbf{M}$ . A sequence of correctors  $(\mathbf{W}^n)$  is unique in the sense that, if there exist two sequences of correctors  $(\mathbf{W}^n)$  and  $(\tilde{\mathbf{W}}^n)$ , their difference  $(\mathbf{W}^n - \tilde{\mathbf{W}}^n)$  converges strongly to zero in  $L^2_{\text{loc}}(\Omega; \mathcal{L}(\text{Sym}, \text{Sym}))$ .



## Corrector result

### Theorem

Let  $(\mathbf{M}^n)$  be a sequence of tensors in  $\mathfrak{M}_2(\alpha, \beta; \Omega)$  which  $H$ -converges to  $\mathbf{M}$ . For  $f \in H^{-2}(\Omega)$ , let  $(u_n)$  be the solution of

$$\begin{cases} \operatorname{div} \operatorname{div} (\mathbf{M}^n \nabla \nabla u_n) = f & \text{in } \Omega \\ u_n \in H_0^2(\Omega), \end{cases}$$

and let  $u$  be the weak limit of  $(u_n)$  in  $H_0^2(\Omega)$ , i.e., the solution of the homogenized equation

$$\begin{cases} \operatorname{div} \operatorname{div} (\mathbf{M} \nabla \nabla u) = f & \text{in } \Omega \\ u \in H_0^2(\Omega). \end{cases}$$

Then,  $r_n := \nabla \nabla u_n - \mathbf{W}^n \nabla \nabla u \rightarrow 0$  strongly in  $L_{\text{loc}}^1(\Omega; \text{Sym})$ .



## Small-amplitude homogenization

$$\mathbf{M}_p^n(\mathbf{x}) := \mathbf{A}_0 + p\mathbf{B}^n(\mathbf{x}), p \in \mathbb{R}$$

$$\mathbf{M}_p := \mathbf{A}_0 + p\mathbf{B}_0 + p^2\mathbf{C}_0 + o(p^2), p \in \mathbb{R}$$

If  $p \mapsto \mathbf{M}_p^n$  is a  $C^k$  mapping (for any  $n \in \mathbb{N}$ ) from some subset of  $\mathbb{R}$  to  $L^\infty(\Omega; \mathcal{L}(\text{Sym}, \text{Sym}))$ , what can we say about  $p \mapsto \mathbf{M}_p$ ?



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## Smoothness with respect to a parameter

### Theorem

Let  $\mathbf{M}^n : \Omega \times P \rightarrow \mathcal{L}(\text{Sym}, \text{Sym})$  be a sequence of tensors, such that  $\mathbf{M}^n(\cdot, p) \in \mathfrak{M}_2(\alpha, \beta; \Omega)$ , for  $p \in P$ , where  $P \subseteq \mathbf{R}$  is an open set. Assume that (for some  $k \in \mathbf{N}_0$ ) a mapping  $p \mapsto \mathbf{M}^n(\cdot, p)$  is of class  $C^k$  from  $P$  to  $L^\infty(\Omega; \mathcal{L}(\text{Sym}, \text{Sym}))$ , with derivatives (up to order  $k$ ) being equicontinuous on every compact set  $K \subseteq P$ :

$$(\forall K \in \mathcal{K}(P)) (\forall \varepsilon > 0) (\exists \delta > 0) (\forall p, q \in K) (\forall n \in \mathbf{N}) (\forall i \leq k) \\ |p - q| < \delta \Rightarrow \|(\mathbf{M}^n)^{(i)}(\cdot, p) - (\mathbf{M}^n)^{(i)}(\cdot, q)\|_{L^\infty(\Omega; \mathcal{L}(\text{Sym}, \text{Sym}))} < \varepsilon.$$

Then there is a subsequence  $(\mathbf{M}^{n_k})$  such that for every  $p \in P$

$$\mathbf{M}^{n_k}(\cdot, p) \xrightarrow{H} \mathbf{M}(\cdot, p) \quad \text{in } \mathfrak{M}_2(\alpha, \beta; \Omega)$$

and  $p \mapsto \mathbf{M}(\cdot, p)$  is a  $C^k$  mapping from  $P$  to  $L^\infty(\Omega; \mathcal{L}(\text{Sym}, \text{Sym}))$ .



## Periodic case

- $Y = [0, 1]^d$ ,  $\mathbf{M} \in L^\infty_\#(Y; \mathcal{L}(\text{Sym}, \text{Sym})) \cap \mathfrak{M}_2(\alpha, \beta; Y)$
- $\mathbf{M}^n(\mathbf{x}) := \mathbf{M}(n\mathbf{x})$ ,  $\mathbf{x} \in \Omega \subseteq \mathbf{R}^d$  (open and bounded)
- $H^\#_2(Y) := \{f \in H^2_{\text{loc}}(\mathbf{R}^d) \text{ such that } f \text{ is } Y\text{-periodic}\}$  with the norm  $\|\cdot\|_{H^2(Y)}$
- $H^\#_2(Y)/\mathbf{R}$  equipped with the norm  $\|\nabla \nabla \cdot\|_{L^2(Y)}$
- $\mathbf{E}_{ij}$ ,  $1 \leq i, j \leq d$  are  $M_{d \times d}$  matrices defined as

$$[\mathbf{E}_{ij}]_{kl} = \begin{cases} 1, & \text{if } i = j = k = l \\ \frac{1}{2}, & \text{if } i \neq j, (k, l) \in \{(i, j), (j, i)\} \\ 0, & \text{otherwise.} \end{cases}$$



## H-limit of a periodic sequence

### Theorem

Let  $(\mathbf{M}^n)$  be a sequence of tensors defined by  $\mathbf{M}^n(\mathbf{x}) := \mathbf{M}(n\mathbf{x})$ ,  $x \in \Omega$ . Then  $(\mathbf{M}^n)$  H-converges to a constant tensor  $\mathbf{M}^* \in \mathfrak{M}_2(\alpha, \beta; \Omega)$  defined as

$$m_{kl ij}^* = \int_Y \mathbf{M}(\mathbf{x})(\mathbf{E}_{ij} + \nabla \nabla w_{ij}(\mathbf{x})) : (\mathbf{E}_{kl} + \nabla \nabla w_{kl}(\mathbf{x})) \, d\mathbf{x},$$

where  $(w_{ij})_{1 \leq i, j \leq d}$  is the family of unique solutions in  $H_{\#}^2(Y)/\mathbf{R}$  of boundary value problems

$$\begin{cases} \operatorname{div} \operatorname{div} (\mathbf{M}(\mathbf{x})(\mathbf{E}_{ij} + \nabla \nabla w_{ij}(\mathbf{x}))) = 0 & \text{in } Y \\ \mathbf{x} \rightarrow w_{ij}(\mathbf{x}) & \text{is } Y\text{-periodic.} \end{cases}$$



## Small-amplitude assumptions

### Theorem

Let  $\mathbf{A}_0 \in \mathcal{L}(\text{Sym}; \text{Sym})$  be a constant coercive tensor,  $P \subseteq \mathbf{R}$  an open set,  $\mathbf{B}^n(\mathbf{x}) := \mathbf{B}(n\mathbf{x})$ ,  $\mathbf{x} \in \Omega$ , where  $\Omega \subseteq \mathbf{R}^d$  is a bounded, open set, and  $\mathbf{B}$  is a  $Y$ -periodic,  $L^\infty$  tensor function, satisfying  $\int_Y \mathbf{B}(\mathbf{x}) d\mathbf{x} = 0$ .

Then

$$\mathbf{M}_p^n(\mathbf{x}) := \mathbf{A}_0 + p\mathbf{B}^n(\mathbf{x}), \quad \mathbf{x} \in \Omega$$

$H$ -converges (for any  $p \in P$ ) to a tensor

$$\mathbf{M}_p := \mathbf{A}_0 + p\mathbf{B}_0 + p^2\mathbf{C}_0 + o(p^2)$$

with coefficients  $\mathbf{B}_0 = 0$  and



## Small-amplitude limit

$$\begin{aligned} \mathbf{C}_0 \mathbf{E}_{mn} : \mathbf{E}_{rs} &= (2\pi i)^2 \sum_{\mathbf{k} \in J} a_{-\mathbf{k}}^{mn} \mathbf{B}_{\mathbf{k}}(\mathbf{k} \otimes \mathbf{k}) : \mathbf{E}_{rs} + \\ &+ (2\pi i)^4 \sum_{\mathbf{k} \in J} a_{\mathbf{k}}^{mn} a_{-\mathbf{k}}^{rs} \mathbf{A}_0(\mathbf{k} \otimes \mathbf{k}) : \mathbf{k} \otimes \mathbf{k} + \\ &+ (2\pi i)^2 \sum_{\mathbf{k} \in J} a_{-\mathbf{k}}^{rs} \mathbf{B}_{\mathbf{k}} \mathbf{E}_{mn} : \mathbf{k} \otimes \mathbf{k}. \end{aligned}$$

where  $m, n, r, s \in \{1, 2, \dots, d\}$ ,  $J := \mathbf{Z}^d / \{0\}$ , and

$$a_{\mathbf{k}}^{mn} = - \frac{\mathbf{B}_{\mathbf{k}} \mathbf{E}_{mn} \mathbf{k} \cdot \mathbf{k}}{(2\pi i)^2 \mathbf{A}_0(\mathbf{k} \otimes \mathbf{k}) : (\mathbf{k} \otimes \mathbf{k})}, \quad \mathbf{k} \in J, \quad m, n \in \{1, 2, \dots, d\}$$

and  $\mathbf{B}_{\mathbf{k}}$ ,  $\mathbf{k} \in J$ , are Fourier coefficients of function  $\mathbf{B}$ .



## Now what?

- Small-amplitude homogenization - non-periodic case
- composite materials for plates
- lamination formula, higher-order laminates
- Hashin-Shtrikman bounds
- G-closure problem
- Optimal design of plates

*Thank you for your attention!*



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