

# H-distributions and variants

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## H-distributions

- Existence

- Distributions of anisotropic order

- Definition and tensor products

- Schwartz kernel theorem: consequences for H-distributions

- An important example

## Existence of H-measures

**Theorem.** If  $u_n \rightarrow 0$  in  $L^2_{\text{loc}}(\mathbf{R}^d; \mathbf{R}^r)$ , then there exists its subsequence and a complex matrix Radon measure distribution of order zero  $\mu$  on  $\mathbf{R}^d \times S^{d-1}$  such that for any  $\varphi_1, \varphi_2 \in C_c(\mathbf{R}^d)$  and  $\psi \in C(S^{d-1})$  one has

$$\begin{aligned} \lim_{n'} \int_{\mathbf{R}^d} \widehat{\varphi_1 u_{n'}} \otimes \widehat{\varphi_2 u_{n'}} \psi(\xi/|\xi|) d\xi &= \langle \mu, (\varphi_1 \bar{\varphi}_2) \boxtimes \psi \rangle \\ &= \int_{\mathbf{R}^d \times S^{d-1}} \varphi_1(\mathbf{x}) \bar{\varphi}_2(\mathbf{x}) \psi(\xi) d\bar{\mu}(\mathbf{x}, \xi). \end{aligned}$$

There are some other variants: (ultra)parabolic, fractional, one-scale, ...

**Multiplication** by  $b \in L^\infty(\mathbf{R}^d)$ , a bounded operator  $M_b$  on  $L^2(\mathbf{R}^d)$ :

$(M_b u)(\mathbf{x}) := b(\mathbf{x})u(\mathbf{x})$ , norm equal to  $\|b\|_{L^\infty(\mathbf{R}^2)}$ .

**Fourier multiplier**  $\mathcal{A}_a$ , for  $a \in L^\infty(\mathbf{R}^2)$ :  $\widehat{\mathcal{A}_a u} = a \hat{u}$ .

The norm is again equal to  $\|a\|_{L^\infty(\mathbf{R}^2)}$ .

Delicate part:  $a$  is given only on  $S^1$ .

We extend it by the projection  $p$ : if  $\alpha$  is a function defined on a compact surface, we take  $a := \alpha \circ p$ , i.e.

$$a(\xi) := \alpha\left(\frac{\xi}{|\xi|}\right)$$

The precise scaling is contained in the projections, not the surface.

## Good bounds in the $L^p$ case: the Hörmander-Mihlin theorem

$\psi : \mathbf{R}^d \rightarrow \mathbf{C}$  is a *Fourier multiplier* on  $L^p(\mathbf{R}^d)$  if

$$\bar{\mathcal{F}}(\psi \mathcal{F}(\theta)) \in L^p(\mathbf{R}^d), \quad \text{for } \theta \in \mathcal{S}(\mathbf{R}^d),$$

and

$$\mathcal{S}(\mathbf{R}^d) \ni \theta \mapsto \bar{\mathcal{F}}(\psi \mathcal{F}(\theta)) \in L^p(\mathbf{R}^d)$$

can be extended to a continuous mapping  $\mathcal{A}_\psi : L^p(\mathbf{R}^d) \rightarrow L^p(\mathbf{R}^d)$ .

**Theorem. [Hörmander-Mihlin]** *Let  $\psi \in L^\infty(\mathbf{R}^d)$  have partial derivatives of order less than or equal to  $\kappa = \lfloor \frac{d}{2} \rfloor + 1$ . If for some  $k > 0$*

$$(\forall r > 0)(\forall \alpha \in \mathbf{N}_0^d) \quad |\alpha| \leq \kappa \implies \int_{\frac{r}{2} \leq |\xi| \leq r} |\partial^\alpha \psi(\xi)|^2 d\xi \leq k^2 r^{d-2|\alpha|},$$

*then for any  $p \in \langle 1, \infty \rangle$  and the associated multiplier operator  $\mathcal{A}_\psi$  there exists a  $C_d$  (depending only on the dimension  $d$ ) such that*

$$\|\mathcal{A}_\psi\|_{L^p \rightarrow L^p} \leq C_d \max \left\{ p, \frac{1}{p-1} \right\} (k + \|\psi\|_\infty).$$

■

For  $\psi \in C^\kappa(S^{d-1})$ , extended by homogeneity to  $\mathbf{R}^d$ , we can take  $k = \|\psi\|_{C^\kappa}$ .

## Existence of H-distributions

**Theorem.** (N.A. & D. Mitrović, 2011) If  $u_n \rightharpoonup 0$  in  $L^p(\mathbf{R}^d)$  and  $v_n \xrightarrow{*} v$  in  $L^q(\mathbf{R}^d)$  for some  $q \geq \max\{p', 2\}$ , then there exist subsequences  $(u_{n'})$ ,  $(v_{n'})$  and a complex valued distribution  $\mu \in \mathcal{D}'(\mathbf{R}^d \times S^{d-1})$ , such that for every  $\varphi_1, \varphi_2 \in C_c^\infty(\mathbf{R}^d)$  and  $\psi \in C^\kappa(S^{d-1})$  we have:

$$\begin{aligned} \lim_{n'} \int_{\mathbf{R}^d} \mathcal{A}_\psi(\varphi_1 u_{n'}) (\mathbf{x}) \overline{(\varphi_2 v_{n'}) (\mathbf{x})} d\mathbf{x} &= \lim_{n'} \int_{\mathbf{R}^d} (\varphi_1 u_{n'}) (\mathbf{x}) \overline{\mathcal{A}_{\overline{\psi}}(\varphi_2 v_{n'}) (\mathbf{x})} d\mathbf{x} \\ &= \langle \mu, \varphi_1 \overline{\varphi_2} \psi \rangle, \end{aligned}$$

where  $\mathcal{A}_\psi : L^p(\mathbf{R}^d) \rightarrow L^p(\mathbf{R}^d)$  is the Fourier multiplier operator with symbol  $\psi \in C^\kappa(S^{d-1})$ . ■

We call the functional  $\mu$  the *H-distribution* corresponding to (a subsequence of)  $(u_n)$  and  $(v_n)$ .

For applications, of interest is to extend the result to vector-valued functions. For  $u_n \in L^p(\mathbf{R}^d; \mathbf{C}^k)$  and  $v_n \in L^q(\mathbf{R}^d; \mathbf{C}^l)$ , the result is a *matrix valued distribution*  $\boldsymbol{\mu} = [\mu^{ij}]$ ,  $i \in 1..k$  and  $j \in 1..l$ .

In contrast to H-measures, we cannot consider H-distributions corresponding to the same sequence, but only to a pair of sequences, and H-distribution would correspond to non-diagonal blocks for H-measures.

## First commutation lemma

$\psi \in C^\kappa(S^{d-1})$  satisfies the conditions of the Hörmander-Mihlin theorem. Therefore,  $\mathcal{A}_\psi$  and  $B$  are bounded operators on  $L^r(\mathbf{R}^d)$ , for any  $r \in \langle 1, \infty \rangle$ . We are interested in the properties of their commutator,  $C = \mathcal{A}_\psi B - B\mathcal{A}_\psi$ . If  $p < r$ , we can apply the classical interpolation inequality:

$$\|Cv_n\|_p \leq \|Cv_n\|_2^\alpha \|Cv_n\|_r^{1-\alpha},$$

for  $\alpha \in \langle 0, 1 \rangle$  such that  $1/p = \alpha/2 + (1 - \alpha)/r$ .

As  $C$  is compact on  $L^2(\mathbf{R}^d)$  by Tartar's First commutation lemma, while it is bounded on  $L^r(\mathbf{R}^d)$ , we get the claim.

For the most interesting case, where  $p = r$ , we need a better result: the Krasnosel'skij theorem (in fact, its extension to unbounded domains [N.A., M. Mišur, D. Mitrović (2018)]).

**Lemma.** *Assume that linear operator  $A$  is compact on  $L^2(\mathbf{R}^d)$  and bounded on  $L^r(\mathbf{R}^d)$ , for some  $r \in \langle 1, \infty \rangle \setminus \{2\}$ . Then  $A$  is also compact on any  $L^p(\mathbf{R}^d)$ , where  $1/p = \theta/2 + (1 - \theta)/r$ , for a  $\theta \in \langle 0, 1 \rangle$ .* ■

Therefore, the commutator  $C$  is compact on all  $L^p(\mathbf{R}^d)$ ,  $p \in \langle 1, \infty \rangle$ .

## Lemma on bilinear forms

**Lemma.** *Let  $E, F$  be separable Banach spaces,  $(b_n)$  an equibounded sequence of bilinear forms on  $E \times F$  (i.e.  $|b_n(\varphi, \psi)| \leq C\|\varphi\|_E\|\psi\|_F$ ). Then there exists a subsequence  $(b_{n_k})$  and a bilinear form  $b$  (with the same bound  $C$ ) such that*

$$(\forall \varphi \in E)(\forall \psi \in F) \quad \lim_k b_{n_k}(\varphi, \psi) = b(\varphi, \psi) .$$

■

$C_{K_l}(\mathbf{R}^d) := \{\varphi \in C(\mathbf{R}^d) : \text{supp } \varphi \subseteq K_l\}$  and

$$C_c(\mathbf{R}^d) = \bigcup_{l \in \mathbf{N}} C_{K_l}(\mathbf{R}^d) ,$$

so we have  $B^l \in \mathcal{L}(C_{K_l}(\mathbf{R}^d); (C^\kappa(S^{d-1}))')$ , and we can keep the convergence on  $C_{K_{l-1}}(\mathbf{R}^d)$ , in such a way obtaining that  $B^l$  is an extension of  $B^{l-1}$ .

Thus define  $B$  on  $C_c(\mathbf{R}^d)$ :

for  $\varphi \in C_c(\mathbf{R}^d)$  we take  $l \in \mathbf{N}$  such that  $\text{supp } \varphi \subseteq K_l$ , and set  $B\varphi := B^l\varphi$ .

The definition is good, and we have a bounded operator in uniform norm:

$$\|B\varphi\|_{(C^\kappa(S^{d-1}))'} \leq \tilde{C}\|\varphi\|_{C_0(\mathbf{R}^d)} .$$

It can be extended to the completion, the Banach space  $C_0(\mathbf{R}^d)$ .

## Complete the proof ...

Now we can define  $\mu(\varphi, \psi) := \langle B\varphi, \psi \rangle$ , which satisfies the Theorem.

Indeed, restrict  $B$  to  $C_c^\infty(\mathbf{R}^d)$ ; the restriction  $\tilde{B}$  remains continuous.  $(C^\kappa(S^{d-1}))'$  is a subspace of  $\mathcal{D}'(S^{d-1})$ , and we have a continuous operator from  $C_c^\infty(\mathbf{R}^d)$  to  $\mathcal{D}'(S^{d-1})$ , which by the **Schwartz kernel theorem** can be identified to a distribution from  $\mathcal{D}'(\mathbf{R}^d \times S^{d-1})$ .

However, the bounds we had indicate that we should have a better object than just a distribution, say of order no more than  $\kappa = [d/2] + 1$ .

(Un)fortunately, the situation is much more complicated. Just to mention that the specific examples of H-distributions that we had (up to recently) were all of order 0 in both variables.

It remains to:

- Make precise the anisotropic order of a distribution.
- Get a more precise form of the Schwartz kernel theorem.



## Functions of anisotropic smoothness

Let  $X$  and  $Y$  be open sets in  $\mathbf{R}^d$  and  $\mathbf{R}^r$  (or  $C^\infty$  manifolds),  $\Omega \subseteq X \times Y$ .

By  $C^{l,m}(\Omega)$  we denote the space of functions  $f$  on  $\Omega$ , such that for any  $\alpha \in \mathbf{N}_0^d$  and  $\beta \in \mathbf{N}_0^r$ , if  $|\alpha| \leq l$  and  $|\beta| \leq m$ ,

$$\partial^{\alpha,\beta} f = \partial_x^\alpha \partial_y^\beta f \in C(\Omega).$$

$C^{l,m}(\Omega)$  becomes a Fréchet space if we define a sequence of seminorms

$$p_{K_n}^{l,m}(f) := \max_{|\alpha| \leq l, |\beta| \leq m} \|\partial^{\alpha,\beta} f\|_{L^\infty(K_n)},$$

where  $K_n \subseteq \Omega$  are compacts, such that  $\Omega = \bigcup_{n \in \mathbf{N}} K_n$  and  $K_n \subseteq \text{Int} K_{n+1}$ .

For a compact set  $K \subseteq \Omega$  we define a subspace of  $C^{l,m}(\Omega)$

$$C_K^{l,m}(\Omega) := \left\{ f \in C^{l,m}(\Omega) : \text{supp } f \subseteq K \right\}.$$

This subspace inherits the topology from  $C^{l,m}(\Omega)$ , which is, when considered only on the subspace, a norm topology determined by

$$\|f\|_{l,m,K} := p_K^{l,m}(f),$$

and  $C_K^{l,m}(\Omega)$  is a Banach space (it can be identified with a proper subspace of  $C^{l,m}(K)$ ). However, if  $m = \infty$  (or  $l = \infty$ ), then we shall not get a Banach space, but a Fréchet space. As in the isotropic case, an increasing sequence of seminorms that makes  $C_{K_n}^{l,\infty}(\Omega)$  a Fréchet space is given by  $(p_{K_n}^{l,k})$ ,  $k \in \mathbf{N}_0$ .

## Functions of anisotropic smoothness (cont.)

We can also consider the space

$$C_c^{l,m}(\Omega) := \bigcup_{n \in \mathbf{N}} C_{K_n}^{l,m}(\Omega) ,$$

of all functions with compact support in  $C^{l,m}(\Omega)$ , and equip it by a stronger topology than the one induced from  $C^{l,m}(\Omega)$ : by the topology of *strict inductive limit*.

More precisely, it can easily be checked that

$$C_{K_n}^{l,m}(\Omega) \hookrightarrow C_{K_{n+1}}^{l,m}(\Omega) ,$$

the inclusion being continuous. Also, the topology induced on  $C_{K_n}^{l,m}(\Omega)$  by that of  $C_{K_{n+1}}^{l,m}(\Omega)$  coincides with the original one, and  $C_{K_n}^{l,m}(\Omega)$  (as a Banach space in that topology) is a closed subspace of  $C_{K_{n+1}}^{l,m}(\Omega)$ . Then we have that the strict inductive limit topology on  $C_c^{l,m}(\Omega)$  induces on each  $C_{K_n}^{l,m}(\Omega)$  the original topology, while a subset of  $C_c^{l,m}(\Omega)$  is bounded if and only if it is contained in one  $C_{K_n}^{l,m}(\Omega)$ , and bounded there.  $C_c^{l,m}(\Omega)$  is a barrelled space.

Of course,  $C_c^\infty(\Omega) \hookrightarrow C_c^{l,m}(\Omega)$  is a continuous and dense imbedding.

## Distributions of anisotropic order

**Definition.** A distribution of order  $l$  in  $\mathbf{x}$  and order  $m$  in  $\mathbf{y}$  is any linear functional on  $C_c^{l,m}(\Omega)$ , continuous in the strict inductive limit topology. We denote the space of such functionals by  $\mathcal{D}'_{l,m}(\Omega)$ .

Clearly,  $C_c^\infty(\Omega) \hookrightarrow C_c^{l,m}(\Omega) \hookrightarrow \mathcal{D}'(\Omega)$ , with continuous and dense imbeddings, thus  $C_c^{l,m}(\Omega)$  is a **normal space of distributions**, hence its dual  $\mathcal{D}'_{l,m}(\Omega)$  forms a subspace of  $\mathcal{D}'(\Omega)$ . If we equip it with a strong topology, it is even continuously imbedded in  $\mathcal{D}'(\Omega)$ .

**Lemma.** Let  $X$  and  $Y$  be  $C^\infty$  manifolds. For a linear functional  $u$  on  $C_c^{l,m}(X \times Y)$ , the following statements are equivalent

- a)  $u \in \mathcal{D}'_{l,m}(X \times Y)$ ,
- b)  $(\forall K \in \mathcal{K}(X \times Y))(\exists C > 0)(\forall \Psi \in C_K^{l,m}(X \times Y)) \quad |\langle u, \Psi \rangle| \leq C p_K^{l,m}(\Psi)$ . ■

Statement (b) of previous lemma implies:

$$(\forall K \in \mathcal{K}(X))(\forall L \in \mathcal{K}(Y))(\exists C > 0)(\forall \varphi \in C_K^l(X))(\forall \psi \in C_L^m(Y)) \\ |\langle u, \varphi \boxtimes \psi \rangle| \leq C p_K^l(\varphi) p_L^m(\psi) .$$

The reverse implication would have significantly greater practical use.

## Schwartz kernel theorem

**Theorem.** *Let  $X$  and  $Y$  be two differentiable manifolds.*

- a) *Let  $K \in \mathcal{D}'_{l,m}(X \times Y)$ . Then for each  $\varphi \in C_c^l(X)$  the linear form  $K_\varphi$ , defined by  $\psi \mapsto \langle K, \varphi \boxtimes \psi \rangle$ , is a distribution of order not more than  $m$  on  $Y$ . Furthermore, the mapping  $\varphi \mapsto K_\varphi$ , taking  $C_c^l(X)$  with its inductive limit topology to  $\mathcal{D}'_m(Y)$  with weak  $*$  topology, is linear and continuous.*
- b) *Let  $A : C_c^l(X) \rightarrow \mathcal{D}'_m(Y)$  be a continuous linear operator, in the pair of topologies as above. Then there exists unique distribution  $K \in \mathcal{D}'(X \times Y)$  such that for any  $\varphi \in C_c^\infty(X)$  and  $\psi \in C_c^\infty(Y)$*

$$\langle K, \varphi \boxtimes \psi \rangle = \langle K_\varphi, \psi \rangle = \langle A\varphi, \psi \rangle.$$

*Furthermore,  $K \in \mathcal{D}'_{l,d(m+2)}(X \times Y)$ .*



## Remarks

Note that in part (b) we did not get  $K \in \mathcal{D}'_{l,m}(X \times Y)$ , as one would expect. The order with respect to  $\mathbf{x}$  variable remained the same, but the order with respect to  $\mathbf{y}$  increased from  $m$  to  $d(m+2)$ . Interchanging the roles of  $X$  and  $Y$ , the same proof gives  $K \in \mathcal{D}'_{d(l+2),m}(X \times Y)$ , where order with respect to  $\mathbf{y}$  remained the same, but order with respect to the  $\mathbf{x}$  variable increased from  $l$  to  $d(l+2)$ . Since uniqueness of  $K \in \mathcal{D}'(X \times Y)$  has already been determined, we conclude that  $K \in \mathcal{D}'_{l,d(m+2)}(X \times Y) \cap \mathcal{D}'_{d(l+2),m}(X \times Y)$ . It might be interesting to see some additional properties of that intersection.

If one used a more constructive proof of the Schwartz kernel theorem, for example [Simanca, Theorem 1.3.4], one would end up increasing the order with respect to both variables  $\mathbf{x}$  and  $\mathbf{y}$ . This occurs naturally, because one needs to secure the integrability of the function which is used to define the kernel function.

## Consequence for H-distributions

By the previous theorem the H-distribution  $\mu$  mentioned at the beginning belongs to the space  $\mathcal{D}'_{0,d(\kappa+2)}(\mathbf{R}^d \times S^{d-1})$ , i.e. it is a distribution of order 0 in  $\mathbf{x}$  and of order not more than  $d(\kappa + 2)$  in  $\xi$ .

Indeed, we already have  $\mu \in \mathcal{D}'(\mathbf{R}^d \times S^{d-1})$  and the following bound with  $\varphi := \varphi_1 \overline{\varphi_2}$ :

$$|\langle \mu, \varphi \boxtimes \psi \rangle| \leq C \|\psi\|_{C^\kappa(S^{d-1})} \|\varphi\|_{C_{K_l}(\mathbf{R}^d)},$$

where  $C$  does not depend on  $\varphi$  and  $\psi$ .

Now we just need to apply the Schwartz kernel theorem given above to conclude that  $\mu$  is a continuous linear functional on  $C_c^{0,d(\kappa+2)}(\mathbf{R}^d \times S^{d-1})$ .

## Example: H-distributions are not measures

In order to explicitly compute the H-distribution in some nontrivial case, it is advantageous to relate it to only one sequence.

Canonical choice of an  $L^{p'}$  sequence corresponding to an  $L^p$ ,  $p \in \langle 1, \infty \rangle$ , sequence  $(u_n)$  is given by  $v_n = \Phi_p(u_n)$ , where  $\Phi_p$  is an operator from  $L^p(\mathbf{R}^d)$  to  $L^{p'}(\mathbf{R}^d)$  defined by  $\Phi_p(u) = |u|^{p-2}u$ .

$\Phi_p$  is a nonlinear Nemytskij operator, continuous from  $L^p(\mathbf{R}^d)$  to  $L^{p'}(\mathbf{R}^d)$  and additionally we have the following bound

$$\|\Phi_p(u)\|_{L^{p'}(\mathbf{R}^d)} \leq \|u\|_{L^p(\mathbf{R}^d)}^{p/p'}.$$

It maps bounded sets in  $L^p_{\text{loc}}(\mathbf{R}^d)$  topology to bounded sets in  $L^{p'}_{\text{loc}}(\mathbf{R}^d)$  topology. Hence for an  $L^p$  bounded sequence  $(u_n)$ , we get that  $(\Phi_p(u_n))$  is weakly precompact in  $L^{p'}_{\text{loc}}(\mathbf{R}^d)$ .

It is continuous from  $L^p_{\text{loc}}(\mathbf{R}^d)$  to  $L^{p'}_{\text{loc}}(\mathbf{R}^d)$ .

## Example: concentration

$u \in L_c^p(\mathbf{R}^d)$ , and define  $u_n(\mathbf{x}) = n^{\frac{d}{p}} u(n(\mathbf{x} - \mathbf{z}))$  for some  $\mathbf{z} \in \mathbf{R}^d$ .

Simple change of variables:  $\|u_n\|_{L^p(\mathbf{R}^d)} = \|u\|_{L^p(\mathbf{R}^d)}$  and  $u_n \rightarrow 0$  in  $L^p(\mathbf{R}^d)$ .

Indeed, the sequence is bounded, while for  $\varphi \in C_c(\mathbf{R}^d)$

$$\begin{aligned} \int_{\mathbf{R}^d} u_n(\mathbf{x}) \varphi(\mathbf{x}) d\mathbf{x} &= \int_{\mathbf{R}^d} n^{d/p} u(n(\mathbf{x} - \mathbf{z})) \varphi(\mathbf{x}) d\mathbf{x} \\ &= \int_{\mathbf{R}^d} n^{d/p-d} u(\mathbf{y}) \varphi(\mathbf{y}/n + \mathbf{z}) d\mathbf{y} \\ &= \frac{1}{n^{d/p'}} \int_{\mathbf{R}^d} u(\mathbf{y}) \chi_{\text{supp } u}(\mathbf{y}) \varphi(\mathbf{y}/n + \mathbf{z}) d\mathbf{y} \\ &\leq \left( \frac{\text{vol}(\text{supp } u)}{n^d} \right)^{1/p'} \|u\|_{L^p(\mathbf{R}^d)} \max_{\mathbf{R}^d} |\varphi|. \end{aligned}$$

Passing to the limit, we get our claim.

The H-distribution corresponding to sequences  $(u_n)$  and  $(\Phi_p(u_n))$  is given by  $\delta_{\mathbf{z}} \boxtimes \nu$ , where  $\nu$  is a continuous functional on  $C^\kappa(S^{d-1})$  defined for  $\psi \in C^\kappa(S^{d-1})$  by

$$\langle \nu, \psi \rangle = \int_{\mathbf{R}^d} u(\mathbf{x}) \overline{\mathcal{A}_{\bar{\psi}}(|u|^{p-2}u)}(\mathbf{x}) d\mathbf{x}.$$

This distribution might be a Radon measure, or not.



## The operators $\zeta_{p,n}$

For  $p \in \langle 1, \infty \rangle$ ,  $\mathbf{z} \in \mathbf{R}^d$ , and  $n \in \mathbf{N}$ , define a linear operator  $\zeta_{p,n}$  on  $L^p(\mathbf{R}^d)$

$$\zeta_{p,n}u(\mathbf{x}) = n^{\frac{d}{p}}u(n(\mathbf{x} - \mathbf{z})).$$

○ It is a linear isometry on  $L^p(\mathbf{R}^d)$ , i.e.

$$\|\zeta_{p,n}u\|_{L^p(\mathbf{R}^d)} = \|u\|_{L^p(\mathbf{R}^d)}.$$

○ For any  $u \in L^p(\mathbf{R}^d)$  the sequence  $(\zeta_{p,n}u)$  weakly converges to 0 in  $L^p(\mathbf{R}^d)$ . Indeed, for  $u$  with a compact support, since  $(\zeta_{p,n}u)$  is bounded, it is sufficient to take a continuous test function  $\varphi$  with compact support

$$\begin{aligned} \int_{\mathbf{R}^d} \zeta_{p,n}u(\mathbf{x})\varphi(\mathbf{x}) d\mathbf{x} &= \int_{\mathbf{R}^d} n^{d/p}u(n(\mathbf{x} - \mathbf{z}))\varphi(\mathbf{x}) d\mathbf{x} \\ &= \int_{\mathbf{R}^d} n^{d/p-d}u(\mathbf{y})\varphi(\mathbf{y}/n + \mathbf{z}) d\mathbf{y} \\ &= \frac{1}{n^{d/p'}} \int_{\text{supp } u} u(\mathbf{y})\varphi(\mathbf{y}/n + \mathbf{z}) d\mathbf{y} \\ &\leq \left( \frac{\text{vol}(\text{supp } u)}{n^d} \right)^{1/p'} \|u\|_{L^p(\mathbf{R}^d)} \max_{\mathbf{R}^d} |\varphi|, \end{aligned}$$

where we have used the change of variables  $\mathbf{y} = n(\mathbf{x} - \mathbf{z})$  in the second equality and the Hölder inequality in the last step. Passing to the limit, we get our claim.

## $\Phi_p$ and $\zeta_{p,n}$ commute

For arbitrary  $u \in L^p(\mathbf{R}^d)$  and  $v \in L^{p'}(\mathbf{R}^d)$ ,  $1/p + 1/p' = 1$ , we will show that the H-distribution corresponding to sequences  $(\zeta_{p,n}u)$  and  $(\zeta_{p',n}v)$  is given by  $\delta_{\mathbf{z}} \boxtimes \nu$ , where  $\nu$  is a functional on  $C^\kappa(S^{d-1})$  defined for  $\psi \in C^\kappa(S^{d-1})$  by

$$\langle \nu, \psi \rangle = \int_{\mathbf{R}^d} u(\mathbf{x}) \overline{\mathcal{A}_{\bar{\psi}} v(\mathbf{x})} d\mathbf{x}.$$

$\Phi_p$  and  $\zeta_{p,n}$  commute in the following sense: for  $u \in L^p(\mathbf{R}^d)$

$$\begin{aligned} \Phi_p(\zeta_{p,n}u)(\mathbf{x}) &= |n^{\frac{d}{p}} u(n(\mathbf{x} - \mathbf{z}))|^{p-2} n^{\frac{d}{p}} u(n(\mathbf{x} - \mathbf{z})) \\ &= n^{\frac{d(p-1)}{p}} |u(n(\mathbf{x} - \mathbf{z}))|^{p-2} u(n(\mathbf{x} - \mathbf{z})) = \zeta_{p',n} \Phi_p(u)(\mathbf{x}), \end{aligned}$$

by taking  $v = \Phi_p(u)$  we reveal the canonical choice of the  $L^{p'}$  sequence corresponding to  $(\zeta_{p,n}u)$ , i.e.  $\zeta_{p',n}v = \Phi_p(\zeta_{p,n}u)$ .

Before we proceed, we need two lemmata:

**Lemma.** *Let  $p \in \langle 1, \infty \rangle$  and  $\mathbf{z} \in \mathbf{R}^d$ . For any  $u \in L^p(\mathbf{R}^d)$  and  $\varphi \in C_c(\mathbf{R}^d)$  it holds*

$$\varphi \zeta_{p,n}u - \varphi(\mathbf{z}) \zeta_{p,n}u \longrightarrow 0 \text{ in } L^p(\mathbf{R}^d).$$

■

... and the second lemma

**Lemma.** For any  $\psi \in C^\kappa(S^{d-1})$ ,  $p \in \langle 1, \infty \rangle$ ,  $\mathbf{z} \in \mathbf{R}^d$ , and  $n \in \mathbf{N}$ , the operators  $\mathcal{A}_\psi$  and  $\zeta_{p,n}$  commute on  $L^p(\mathbf{R}^d)$ . ■

For  $v \in \mathcal{S}(\mathbf{R}^d)$ , we have

$$\begin{aligned}\mathcal{A}_\psi(\zeta_{p,n}v)(\mathbf{x}) &= n^{\frac{d}{p}} \bar{\mathcal{F}} \left( \psi(\boldsymbol{\xi}/|\boldsymbol{\xi}|) \int_{\mathbf{R}^d} e^{-2\pi i \mathbf{y} \cdot \boldsymbol{\xi}} v(n(\mathbf{y} - \mathbf{z})) d\mathbf{y} \right) (\mathbf{x}) \\ &= n^{\frac{d}{p}} \bar{\mathcal{F}} \left( n^{-d} e^{-2\pi i \mathbf{z} \cdot \boldsymbol{\xi}} \psi(\boldsymbol{\xi}/|\boldsymbol{\xi}|) \int_{\mathbf{R}^d} e^{-2\pi i \frac{\mathbf{w}}{n} \cdot \boldsymbol{\xi}} v(\mathbf{w}) d\mathbf{w} \right) (\mathbf{x}) \\ &= n^{\frac{d}{p}} n^{-d} \bar{\mathcal{F}} \left( e^{-2\pi i \mathbf{z} \cdot \boldsymbol{\xi}} \psi(\boldsymbol{\xi}/|\boldsymbol{\xi}|) \hat{v}(\boldsymbol{\xi}/n) \right) (\mathbf{x}) \\ &= n^{\frac{d}{p}} n^{-d} \int_{\mathbf{R}^d} e^{2\pi i (\mathbf{x} - \mathbf{z}) \cdot \boldsymbol{\xi}} \psi(\boldsymbol{\xi}/|\boldsymbol{\xi}|) \hat{v}(\boldsymbol{\xi}/n) d\boldsymbol{\xi} \\ &= n^{\frac{d}{p}} \int_{\mathbf{R}^d} e^{2\pi i \boldsymbol{\eta} \cdot (n(\mathbf{x} - \mathbf{z}))} \psi(\boldsymbol{\eta}/|\boldsymbol{\eta}|) \hat{v}(\boldsymbol{\eta}) d\boldsymbol{\eta} = \zeta_{p,n}(\mathcal{A}_\psi v)(\mathbf{x}).\end{aligned}$$

Since  $\mathcal{S}(\mathbf{R}^d)$  is dense in  $L^p(\mathbf{R}^d)$ , while  $\mathcal{A}_\psi$  and  $\zeta_{n,p}$  are continuous on  $L^p(\mathbf{R}^d)$ , we get the claim.

## H-distribution corresponding to sequences $(\zeta_{p,n}u)$ and $(\zeta_{p',n}v)$

Let us show that  $(\zeta_{p,n}u)$  and  $(\zeta_{p',n}v)$  form a pure pair (for arbitrary  $u$  and  $v$ ).

Taking  $\varphi_1, \varphi_2 \in C_c^\infty(\mathbf{R}^d)$ , we get

$$\begin{aligned}
 \lim_n \int_{\mathbf{R}^d} \varphi_1(\mathbf{x}) (\zeta_{p,n}u)(\mathbf{x}) \overline{\mathcal{A}_{\bar{\psi}}(\varphi_2 \zeta_{p',n}v)(\mathbf{x})} d\mathbf{x} &= \varphi_1(\mathbf{z}) \bar{\varphi}_2(\mathbf{z}) \lim_n \int_{\mathbf{R}^d} (\zeta_{p,n}u)(\mathbf{x}) \overline{\mathcal{A}_{\bar{\psi}}(\zeta_{p',n}v)(\mathbf{x})} d\mathbf{x} \\
 &= \varphi_1(\mathbf{z}) \bar{\varphi}_2(\mathbf{z}) \lim_n \int_{\mathbf{R}^d} (\zeta_{p,n}u)(\mathbf{x}) \overline{\zeta_{p',n} \mathcal{A}_{\bar{\psi}}(v)(\mathbf{x})} d\mathbf{x} \\
 &= \varphi_1(\mathbf{z}) \bar{\varphi}_2(\mathbf{z}) \lim_n \int_{\mathbf{R}^d} n^d u(n(\mathbf{x} - \mathbf{z})) \overline{\mathcal{A}_{\bar{\psi}}(v)(n(\mathbf{x} - \mathbf{z}))} d\mathbf{x} \\
 &= \varphi_1(\mathbf{z}) \bar{\varphi}_2(\mathbf{z}) \lim_n \int_{\mathbf{R}^d} u(\mathbf{y}) \overline{\mathcal{A}_{\bar{\psi}}(v)(\mathbf{y})} d\mathbf{y} \\
 &= \left\langle u, \mathcal{A}_{\varphi_1(\mathbf{z}) \bar{\varphi}_2(\mathbf{z}) \bar{\psi}}(v) \right\rangle.
 \end{aligned}$$

The last expression can be extended by density to the whole  $C_c^{0,\kappa}(\mathbf{R}^d \times S^{d-1})$ , thus we finally get that  $(\zeta_{p,n}u)$  and  $(\zeta_{p',n}v)$  form a pure pair, and the H-distribution is given by

$$\langle \mu, \Psi \rangle = \left\langle u, \mathcal{A}_{\bar{\Psi}(\mathbf{z}, \cdot)}(v) \right\rangle, \quad \Psi \in C_c^{0,\kappa}(\mathbf{R}^d \times S^{d-1}).$$

## Its properties

In the example we got better (lower) order than those provided by the Theorem. In fact, the order  $(0, \kappa)$ , which is achieved in this example, is the best we can hope for H-distributions (exactly the bounds we have). The question of optimal  $\kappa$ , which is dictated by the Hörmander-Mihlin theorem, remains open.

Concerning the value of  $\kappa$ , the case  $\kappa = 0$  is particularly desirable since in that case we would have that H-distributions are Radon measures.

However, we shall show that *there are  $u \in L^p(\mathbf{R}^d)$  and  $v \in L^{p'}(\mathbf{R}^d)$  such that the H-distribution above is not a Radon measure.*

Indeed, take  $\psi \in C^\infty(S^{d-1})$  such that  $\|\psi\|_{L^\infty(S^{d-1})} = 1$ . Then for any  $n \in \mathbf{N}$  we have  $\psi^n \in C^\infty(S^{d-1})$  and  $\|\psi^n\|_{L^\infty(S^{d-1})} = 1$ . By the Banach-Steinhaus theorem the uniform boundedness in  $n$  of  $(\mathcal{A}_{\bar{\psi}^n})$  in  $\mathcal{L}(L^p(\mathbf{R}^d); L^p(\mathbf{R}^d))$  (here we assume that  $\bar{\psi}^n$  is extended to  $\mathbf{R}^d \setminus \{0\}$  along rays through the origin, as usual) is equivalent to the property that for any  $u \in L^p(\mathbf{R}^d)$  and  $v \in L^{p'}(\mathbf{R}^d)$  the sequence  $(|\langle u, \mathcal{A}_{\bar{\psi}^n} v \rangle|)$  is bounded.

The first implication is trivial, while to prove the latter we first apply the uniform boundedness principle to  $v \mapsto \langle u, \mathcal{A}_{\bar{\psi}^n} v \rangle$  (for an arbitrary  $u$ ), and then to  $\mathcal{A}_{\bar{\psi}^n}$ .

## Ahlfros-Beurling operator

Thus, it is sufficient to find  $\psi \in C^\infty(S^{d-1})$ ,  $\|\psi\|_{L^\infty(S^{d-1})} = 1$ , such that  $(\mathcal{A}_{\bar{\psi}^n})$  is not uniformly bounded in  $\mathcal{L}(L^p(\mathbf{R}^d); L^p(\mathbf{R}^d))$ , as then by the above equivalence the mapping  $\psi \mapsto \langle u, \mathcal{A}_{\bar{\psi}} v \rangle$  cannot be continuous on  $C(S^{d-1})$ , implying that the H-distribution above is not a Radon measure.

For an example of such  $\psi$  in two space dimensions ( $d = 2$ ) one can consider the symbol of the Ahlfros-Beurling operator (Dragičević, 2011) which is given by  $\psi(\xi_1, \xi_2) = \xi_1 + i\xi_2$  since it is known that  $\|\mathcal{A}_{\bar{\psi}^n}\|_{\mathcal{L}(L^p(\mathbf{R}^d); L^p(\mathbf{R}^d))}$  goes to infinity as  $n$  tends to infinity (loc.cit.).

For a counterexample in higher dimensions, one could apply the method of dilatations (Ošekowski, 2012).

To conclude, with the argument above we have proved that there exist H-distributions which are not Radon measures. Therefore, our kernel theorem is really meaningful when applied on H-distributions.

Nevertheless, one could still think of whether the order can be improved when for the  $L^{p'}$  sequence  $(v_n)$  one takes precisely the canonical choice  $(\Phi_p(u_n))$ . Although the above counterexample does not say anything for this specific case, we believe that even for such  $(v_n)$ -s in general H-distributions are not Radon measures.

Thank you for your attention!